

# Linearly Scaling Three Dimensional Fragment Method for Large Scale Electronic Structure Calculations



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# LS3DF Team



**Lin-Wang Wang**



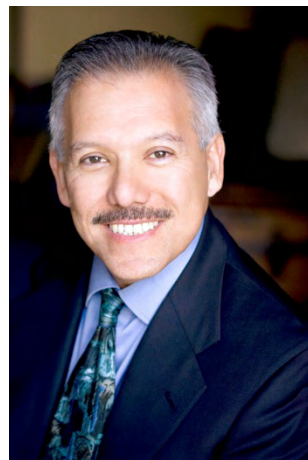
**Zhengji Zhao**



**Byounghak Lee**



**HongZhang Shan**



**Juan Meza**



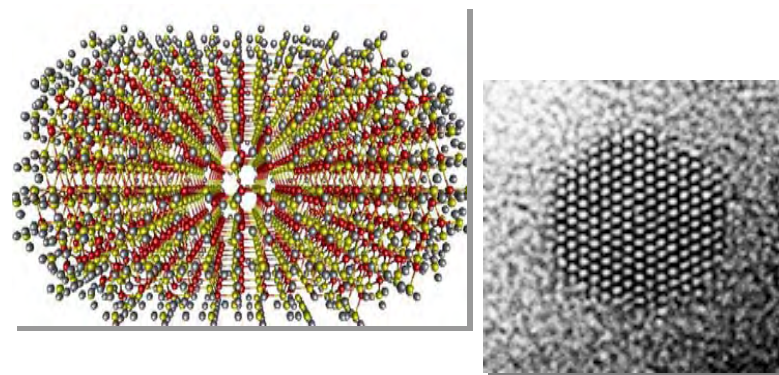
**Erich Strohmaier**



**David Bailey**

# Scalable Methods for Electronic Excitations and Optical Responses of Nanostructures: Mathematics to Algorithms to Observables

- ❖ Initiate a program on the theory and modeling of the electronic excited-state and optical properties of various nanoscience structure
- ❖ Address existing bottlenecks in simulating excitations and optical responses of nanostructure
- ❖ Seek novel reformulations of the underlying physical theories by exploring new ideas in applied mathematics
- ❖ Apply the methodology to targeted problems in nanosciences



## Participants:

ASCR (Lab): Juan Meza, John Bell, Andrew Canning, Byounggak Lee, Chuck Rendleman, Chao Yang, Zhengji Zhao

ASCR (University): John Dennis (Rice University), Yousef Saad (UMN)

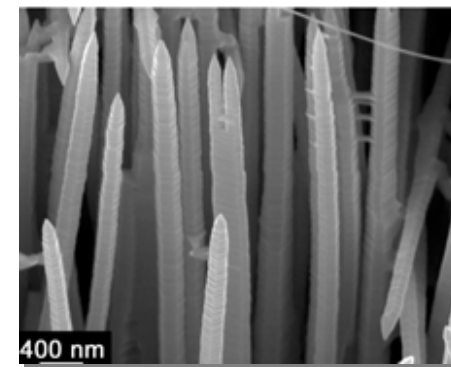
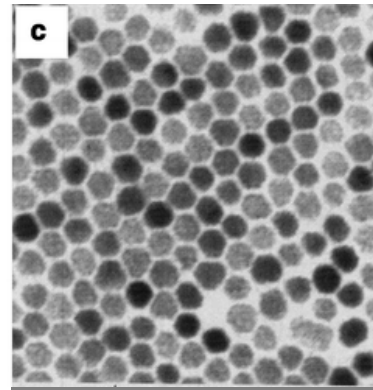
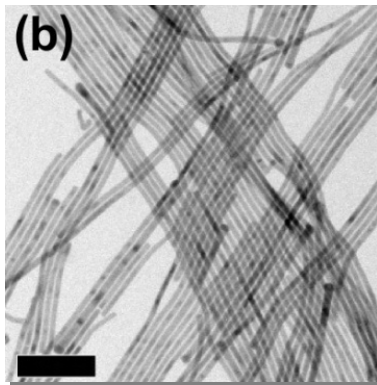
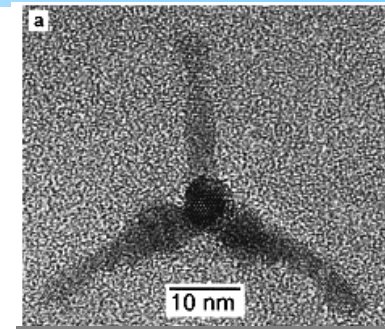
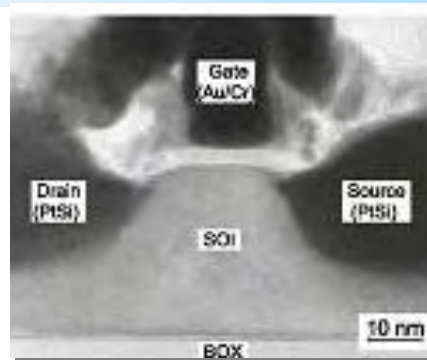
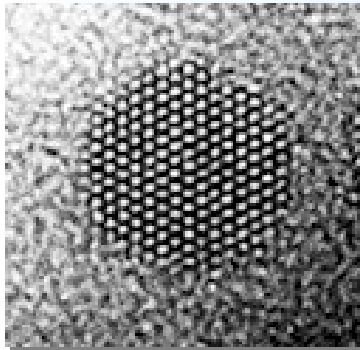
BES (Lab): Martin Head-Gordon, Steven Louie, Michel van Hove, Lin-Wang Wang

BES (University): Emily Carter (Princeton), James Chelikowsky (UMN)

# Project Highlights

- ❖ New constrained minimization algorithms for computing the ground state energy of large atomistic systems.
- ❖ New global optimization methods for determination of atomic-scale structure of surfaces from experiments.
- ❖ Screened-exchange (sX) density functional method in PEtot.
- ❖ Improvements to PARATEC to aid in GW calculations. Parallel vector version developed and run on the Earth Simulator and NERSC machines.
- ❖ Development of higher-order, compact-schemes AMR eigensolver.
- ❖ **New Linear Scaling 3D Fragment Method**
  - **Divide-and-conquer approach for solving large systems**
  - **Modeled systems with over 36,000 atoms with excellent scaling up to 160,000 processors**
  - **ACM Gordon Bell Award SC08**

# Nanostructures have wide applications including: solar cells, biological tags, electronics devices



- ❖ Different electronic structures than bulk materials
- ❖ 1,000 ~ 100,000 atom systems are too large for direct  $O(N^3)$  *ab initio* calculations
- ❖  $O(N)$  computational methods are required
- ❖ Parallel supercomputers critical for the solution of these systems

# Why are quantum mechanical calculations so computationally expensive?

$$\left[-\frac{1}{2}\nabla^2 + V_{tot}(r)\right]\psi_i(r) = \varepsilon_i\psi_i(r)$$

- ❖ If the size of the system is  $N$ :
- ❖  $N$  coefficients to describe one wavefunction,  $\psi_i(r)$
- ❖  $i = 1, \dots, M$  wavefunctions  $\psi_i(r)$ ,  $M$  is proportional to  $N$ .
- ❖ Orthogonalization:  $\int \psi_i(r)\psi_j^*(r)d^3r$ ,  $M^2$  wavefunction pairs, each with  $N$  coefficients:  $N \cdot M^2$ , i.e.  $N^3$  scaling.

**The repeated calculation of these orthogonal wavefunctions make the computation expensive,  $O(N^3)$ .**

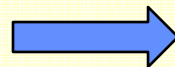
# Previous Work on Linear Scaling DFT methods

- ❖ Three main approaches:
  - Localized orbital method
  - Truncated density matrix method
  - Divide-and-conquer method
- ❖ Some current methods include:
  - Parallel SIESTA (atomic orbitals, not for large parallelization)
  - Many quantum chemistry codes (truncated D-matrix, Gaussian basis, not for large parallelization)
  - ONETEP (M. Payne, PW to local orbitals, then truncated D-matrix)
  - CONQUEST (D. Bowler, UCL, localized orbital)
- ❖ Most of these use localized orbital or truncated-D matrix
- ❖ None of them scales to tens of thousands of processors

# Linearly Scaling 3 Dimensional Fragment method (LS3DF)

- ❖ A novel divide and conquer scheme with a new approach for patching the fragments together
- ❖ No spatial partition functions needed
- ❖ Uses overlapping positive and negative fragments
- ❖ New approach minimizes artificial boundary effects

**divide-and-conquer method**

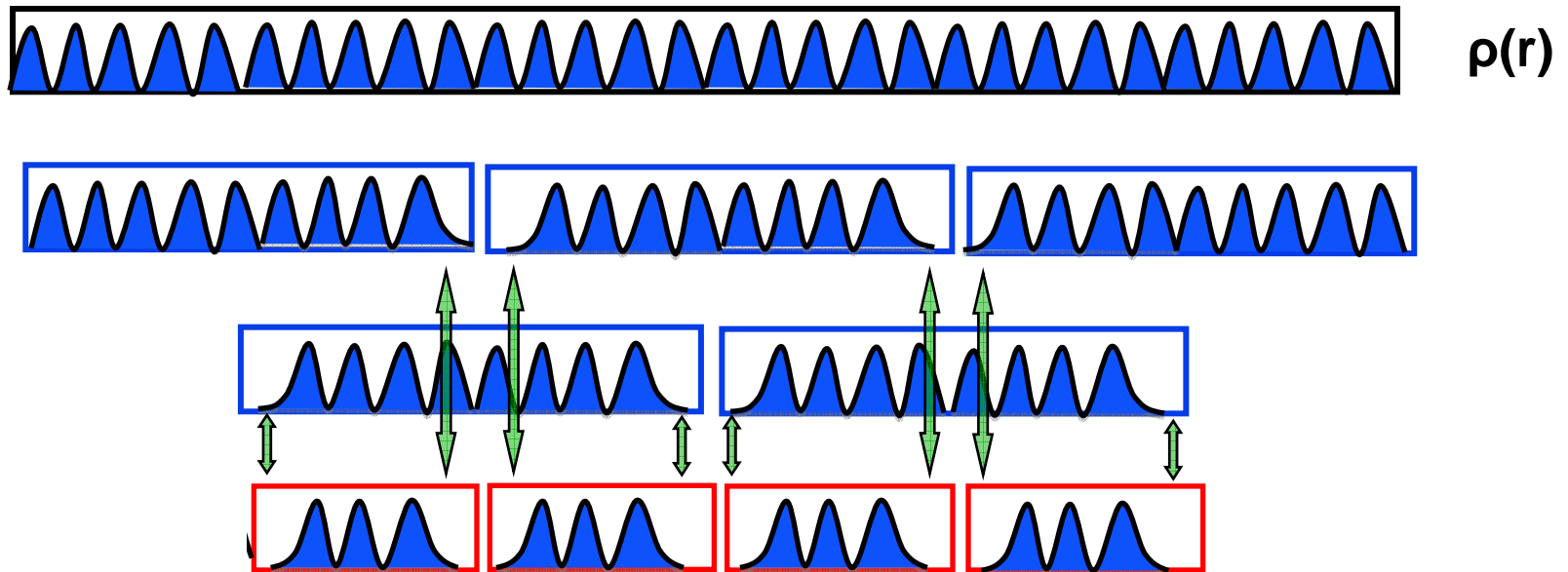


**$O(N)$  scaling**

**Massively parallelizable**



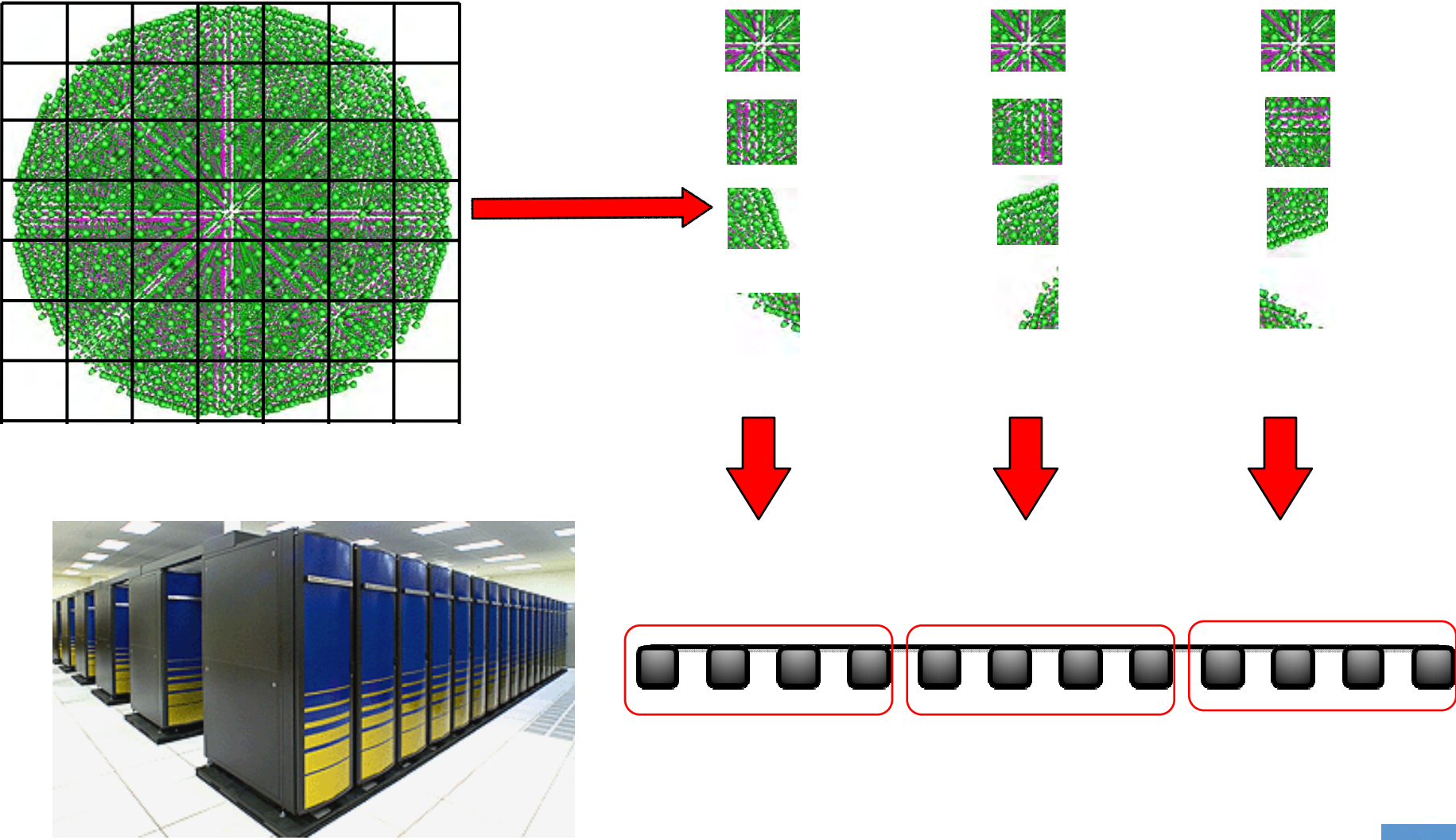
# LS3DF: 1D Example



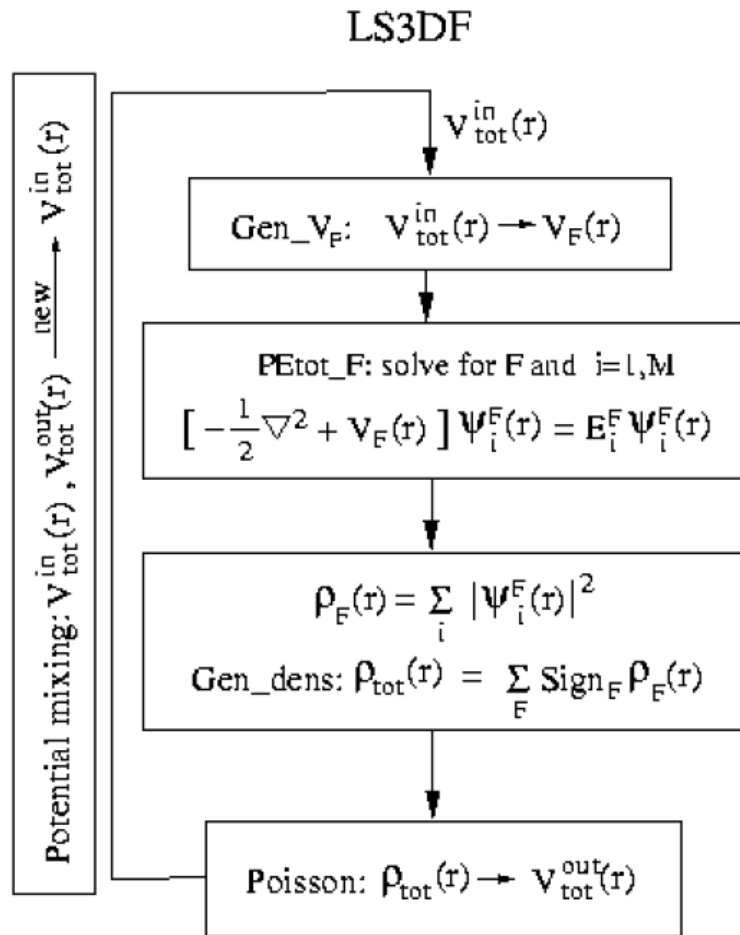
$$\text{Total} = \sum_F \{ \boxed{\phantom{\rho(r)}}_F - \boxed{\phantom{\rho(r)}}_F \}$$

Phys. Rev. B 77, 165113 (2008); J. Phys: Cond. Matt. 20, 294203 (2008)

# Schematic for LS3DF calculation



# Major components of LS3DF method



1. Generate fragment potentials  $V_F$
2. Solve for fragment wave functions
3. Compute total charge density
4. Solve global Poisson equation

Based on the plane wave PEtot code: <http://hpcrd.lbl.gov/~linwang/PEtot/PEtot.html>

# Overview of computational effort in LS3DF

- ❖ Most time consuming part of LS3DF calculation is for the fragment wavefunctions
  - Modified from the stand alone PEtot code
  - Uses planewave pseudopotential (like VASP, Qbox)
  - All-band algorithm takes advantage of BLAS3
- ❖ 2-level parallelization:
  - q-space (Fourier space)
  - band index ( $i$  in  $\psi_i(r)$ )
- ❖ PEtot efficiency > 50% for large systems (e.g, more than 500 atoms), 30-40% for our fragments.

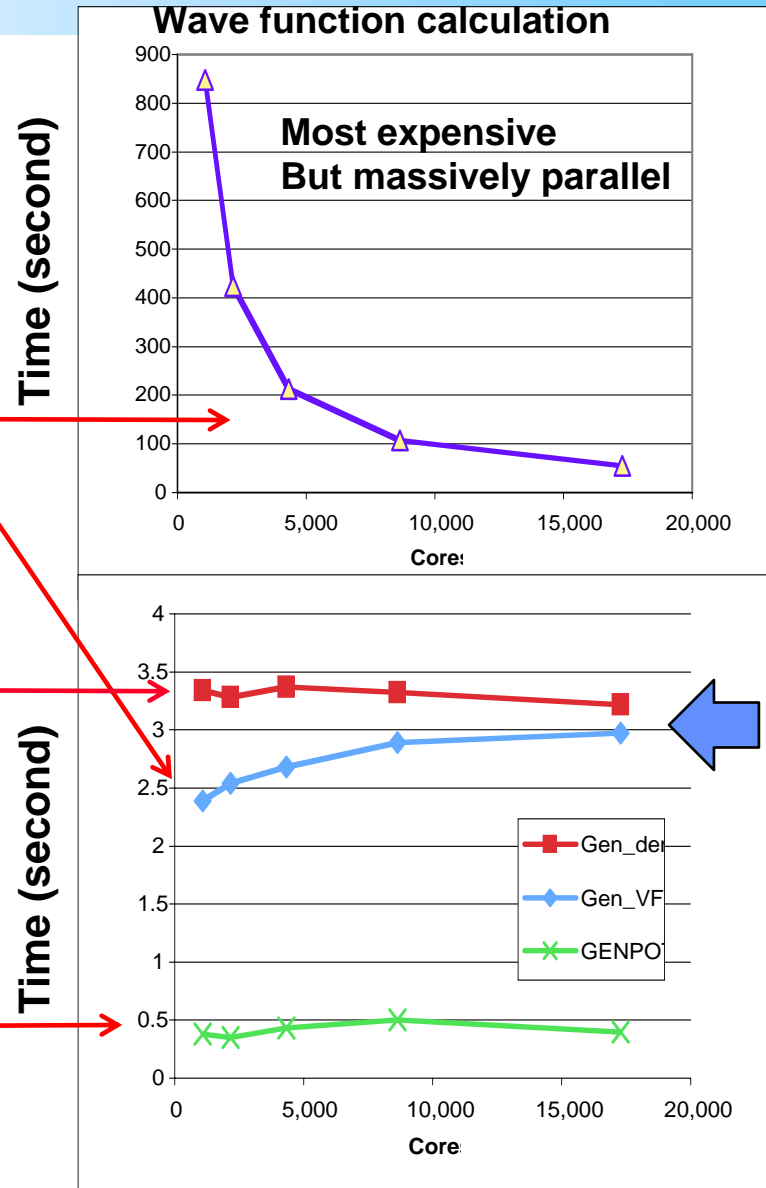
PEtot code: <http://hpcrd.lbl.gov/~linwang/PEtot/PEtot.html>

# Details on the LS3DF divide and conquer scheme

- ❖ Variational formalism, sound mathematics
- ❖ The division into fragments is done automatically, based on atom's spatial locations
- ❖ Typical large fragments (2x2x2) have ~100 atoms and the small fragments (1x1x1) have ~ 20 atoms
- ❖ Processors are divided into  $M$  groups, each with  $N_p$  processors.
  - $N_p$  is usually set to 16 – 128 cores
  - $M$  is between 100 and 10,000
- ❖ Each processor group is assigned  $N_f$  fragments, according to estimated computing times, load balance within 10%.
  - $N_f$  is typically between 8 and 100

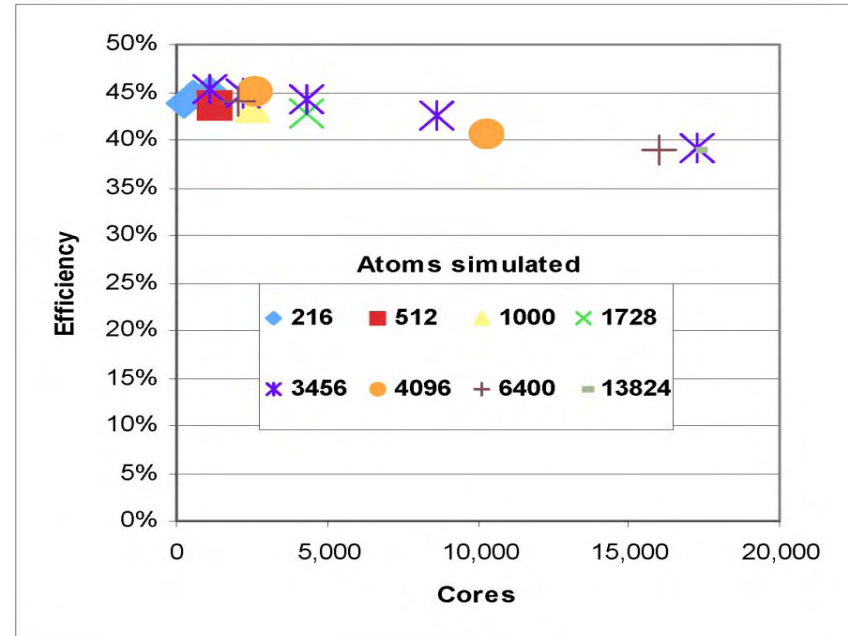
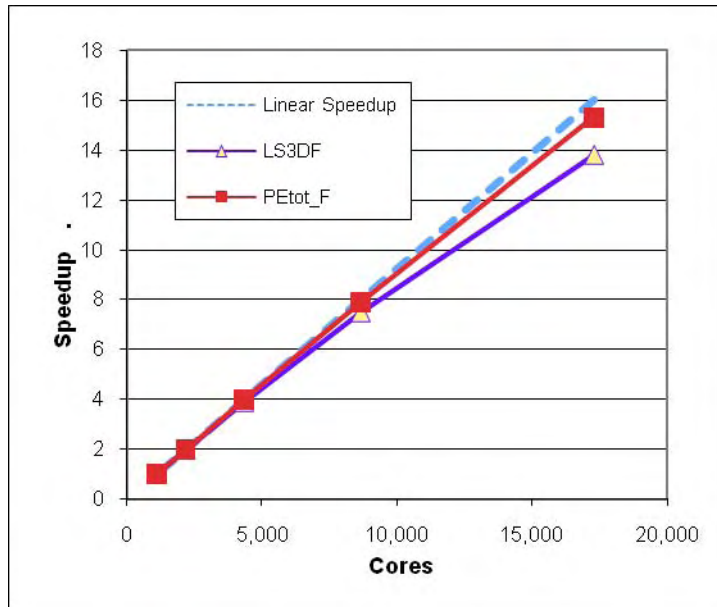
# The performance of LS3DF method (strong scaling, NERSC Franklin)

1. Generate fragment potentials  $V_F$
2. Solve for fragment wave functions
3. Compute total charge density
4. Solve global Poisson equation



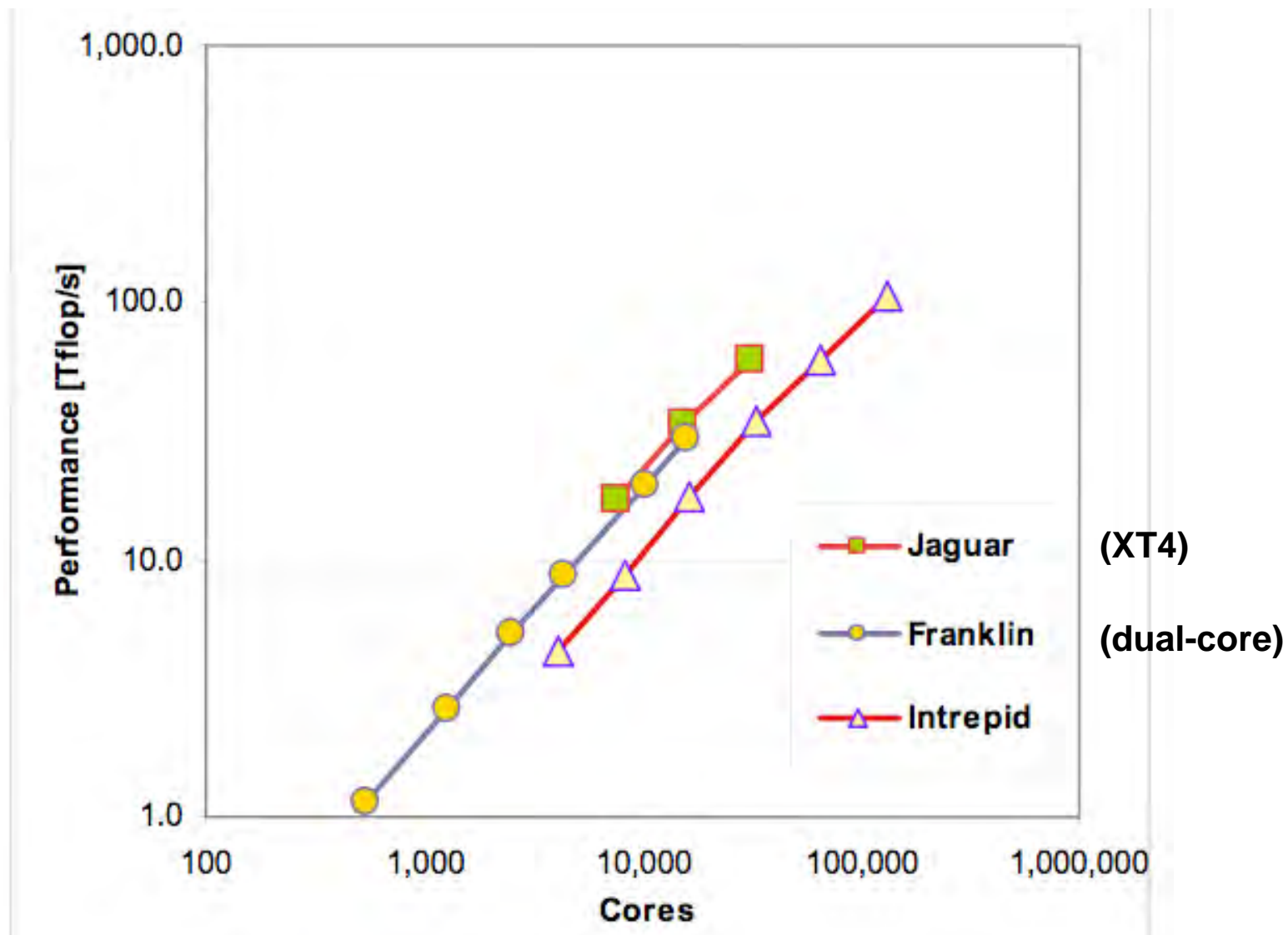
data movement

# NERSC Franklin results



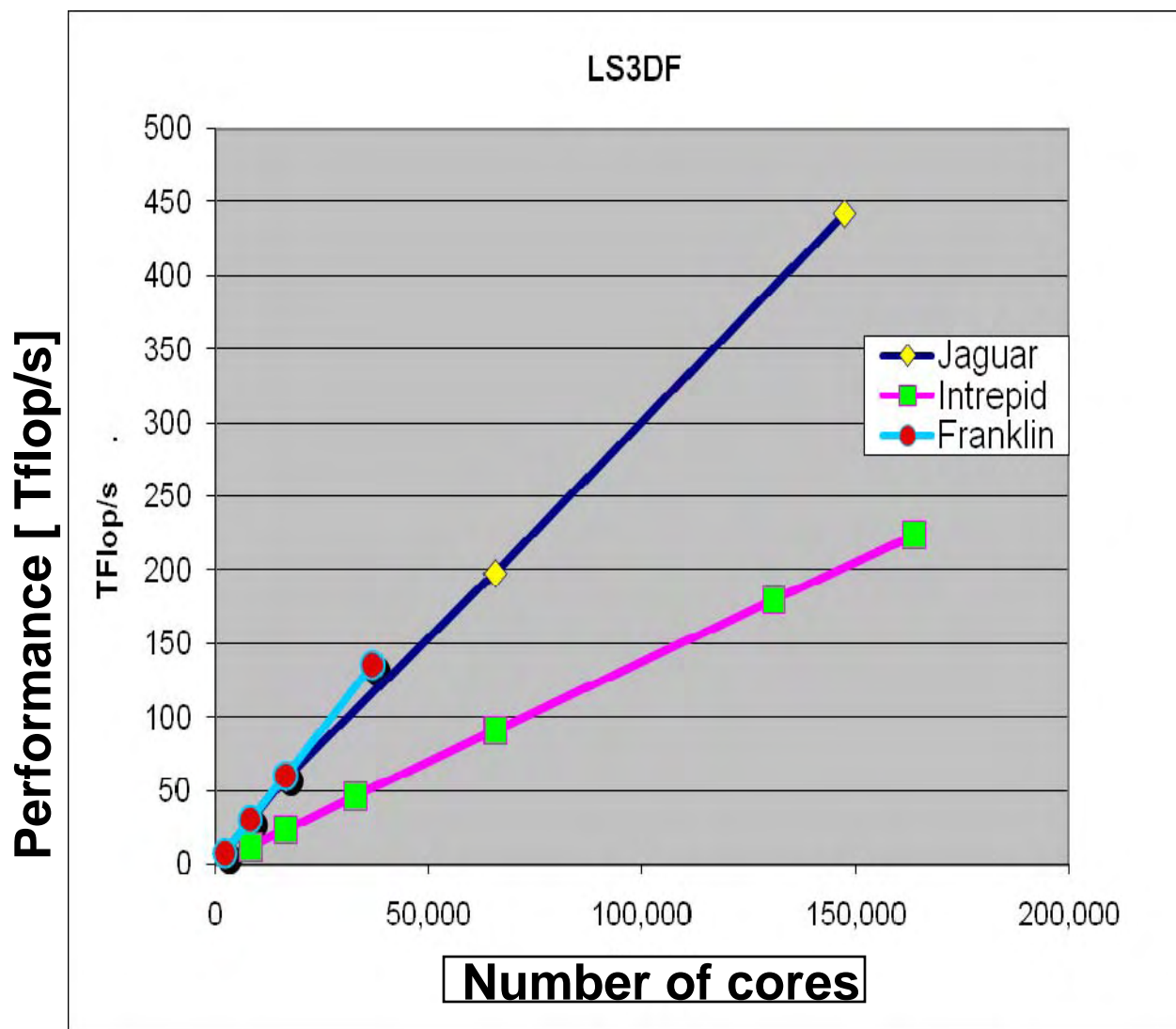
- ❖ 3456 atom system, 17280 cores:
  - one min. per SCF iteration, one hour for a converged result
- ❖ 13824 atom system, 17280 cores,
  - 3-4 min. per SCF iteration, 3 hours for a converged result
- ❖ LS3DF is 400 times faster than PEtot on the 13824 atom system

# Near perfect speedup across a wide variety of systems (weak scaling)



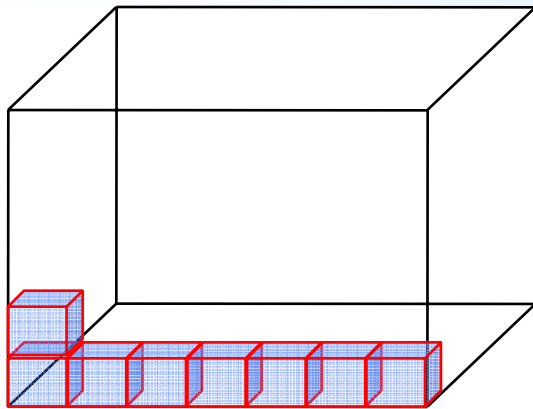


# ZnTeO alloy weak scaling calculations



Note: Ecut = 60Ryd with *d* states, up to 36864 atoms

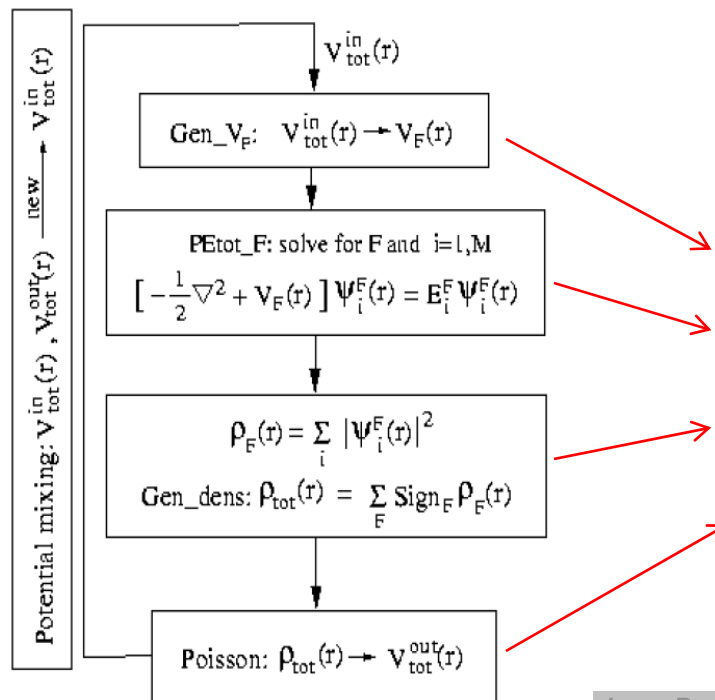
# Node mapping and performance on BlueGene/P



Map all the groups into identical compact cubes, for good intra-group FFT communication, and inter-group load balance.

Time: 50% inside group FFT  
50% inside group DGEMM

LS3DF



Times on diff. parts of the code (sec)

| core     | 8,192 | 32,768 | 163,840 |
|----------|-------|--------|---------|
| atom     | 512   | 2048   | 10,240  |
| gen_VF   | 0.08  | 0.08   | 0.23    |
| PEtot_F  | 69.30 | 68.81  | 69.87   |
| gen_dens | 0.08  | 0.14   | 0.37    |
| Poisson  | 0.12  | 0.22   | 0.76    |

Perfect weak scaling

# System Performance Summary



- ❖ 135 Tflops/s on 36,864 processors of the quad-core Cray XT4 Franklin at NERSC, 40% efficiency



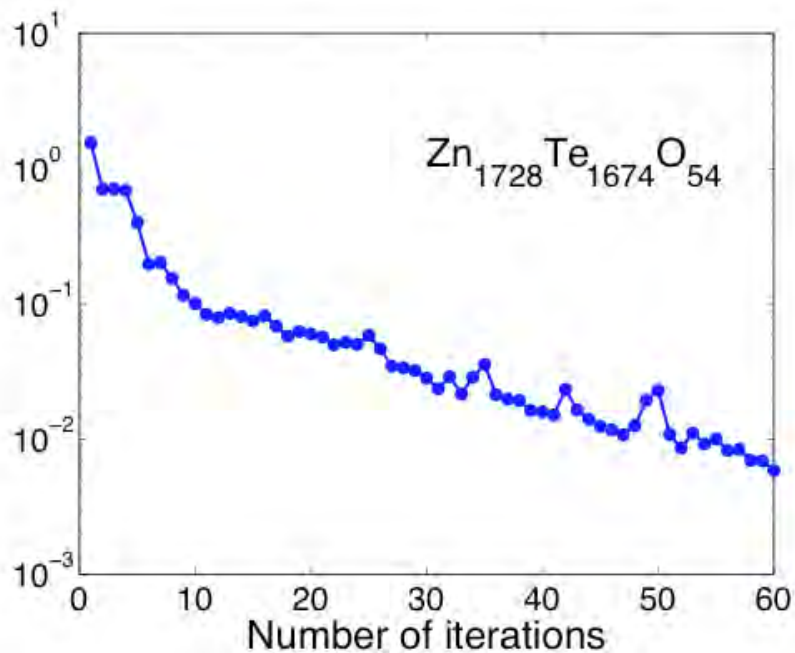
- ❖ 224 Tflops/s on 163,840 processors of the BlueGene/P Intrepid at ALCF, 40% efficiency



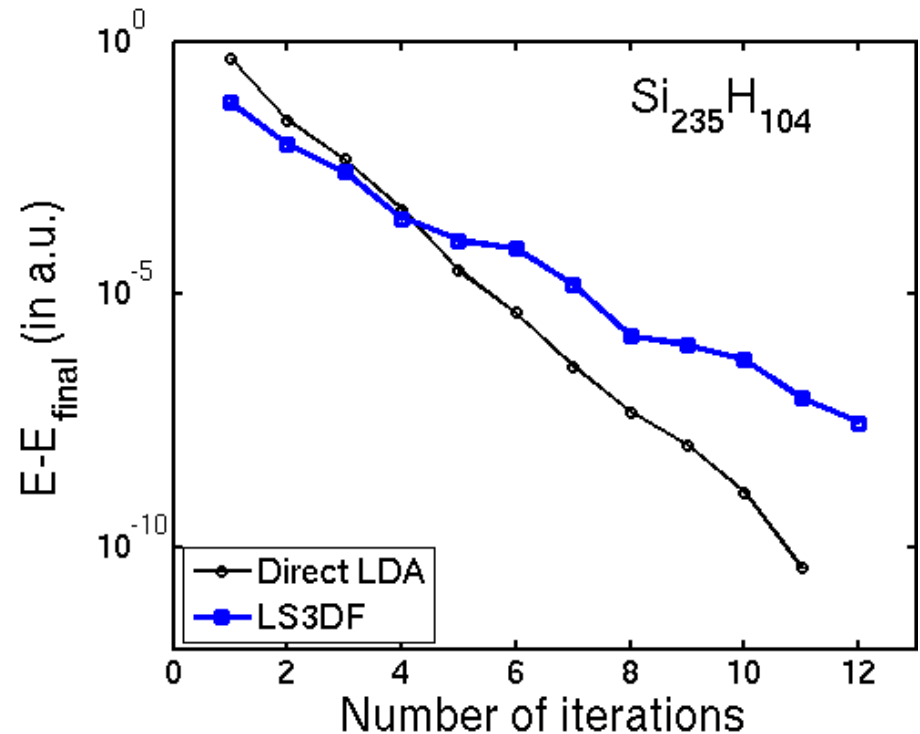
- ❖ 442 Tflops/s on 147,456 processors of the Cray XT5 Jaguar at NCCS, 33% efficiency

**For the largest physical system (36,000 atoms),  
LS3DF is 1000 times faster than direct DFT codes**

# Selfconsistent convergence of LS3DF



Measured by potential



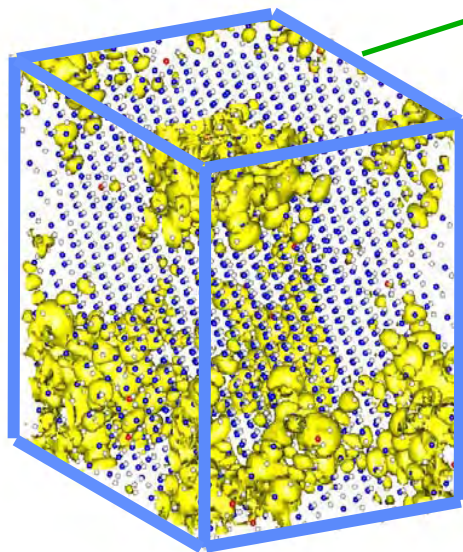
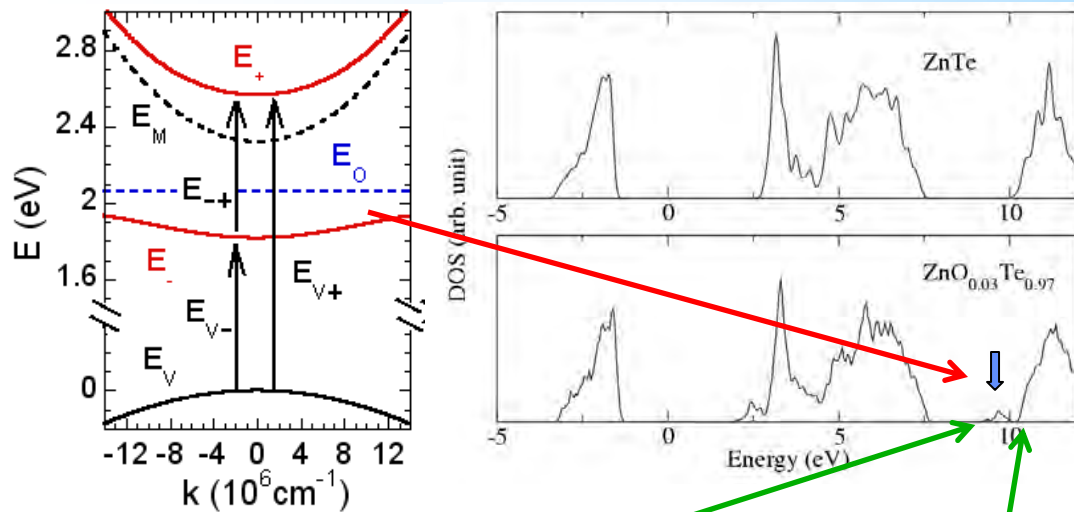
Measured by total energy

- ❖ SCF convergence of LS3DF is similar to direct LDA method
- ❖ It doesn't have the SCF problem some other  $O(N)$  methods have

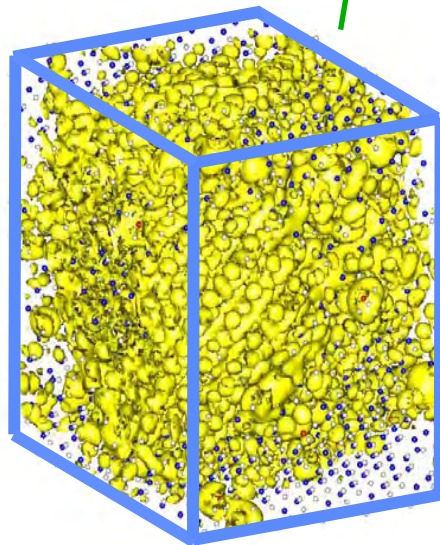
# LS3DF Accuracy is determined by fragment size

- ❖ A comparison to direct LDA calculation, with an 8 atom 1x1x1 fragment size division:
  - The total energy error: 3 MeV/atom ~ 0.1 kcal/mol
  - Charge density difference: 0.2%
  - Better than other numerical uncertainties (e.g. PW cut off, pseudopotential)
- ❖ Atomic force difference:  $10^{-5}$  a.u.
  - Smaller than the typical stopping criterion for atomic relaxation
- ❖ Other properties:
  - The dipole moment error:  $1.3 \times 10^{-3}$  Debye/atom, 5%
  - Smaller than other numerical errors

# Can one use an intermediate state to improve solar cell efficiency?



Highest O induced state

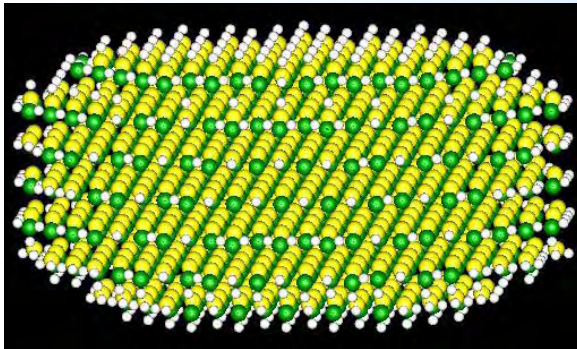


ZnTe bottom of cond. band state

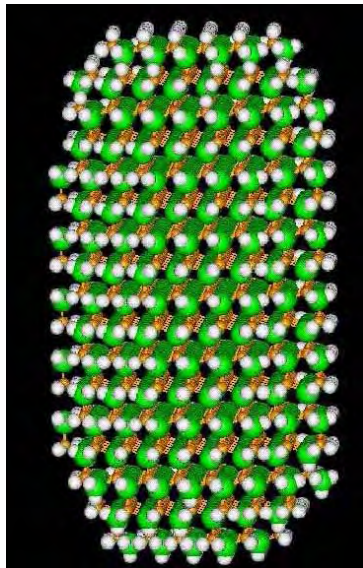
- ❖ Single band material theoretical PV efficiency is 30%
- ❖ With an intermediate state, the PV efficiency could be 60%
- ❖ One proposed material ZnTe:O
  - Is there really a gap?
  - Is it optically forbidden?
- ❖ LS3DF calculation for 3500 atom 3% O alloy [one hour on 17,000 cores of Franklin]
- ❖ Yes, there is a gap, and O induced states are very localized.

INCITE project, NERSC, NCCS.

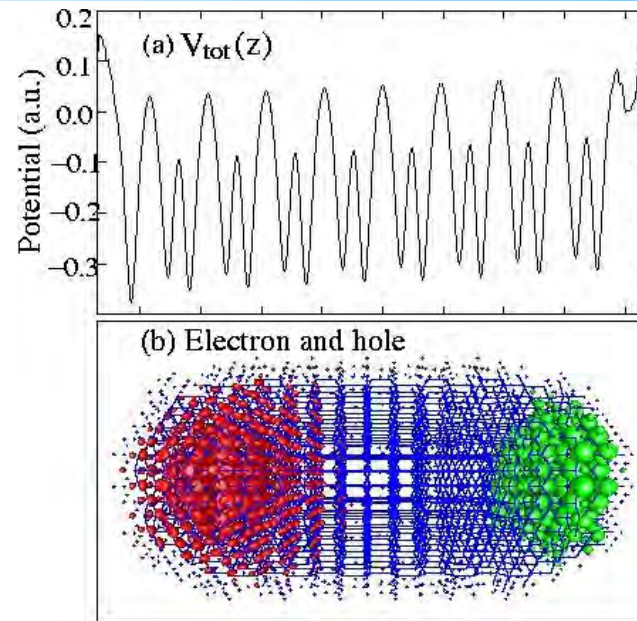
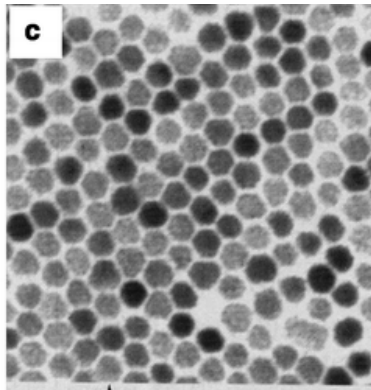
# LS3DF computations yield dipole moments of nanorods and the effects on electrons



**P = 30.3 Debye**



**P=73.3 Debye**



$\text{Cd}_{714}\text{Se}_{724}$

WZ

- ❖ Equal volume nanorods can have different dipole moments
- ❖ The inequality comes from shape dependent self-screening
- ❖ Dipole moments depend on bulk and surface contributions
- ❖ Dipole moments can significantly change the electron and hole wave functions

INCITE project at NCCS and NERSC

COMPUTATIONAL RESEARCH DIVISION



# Summary and Conclusions

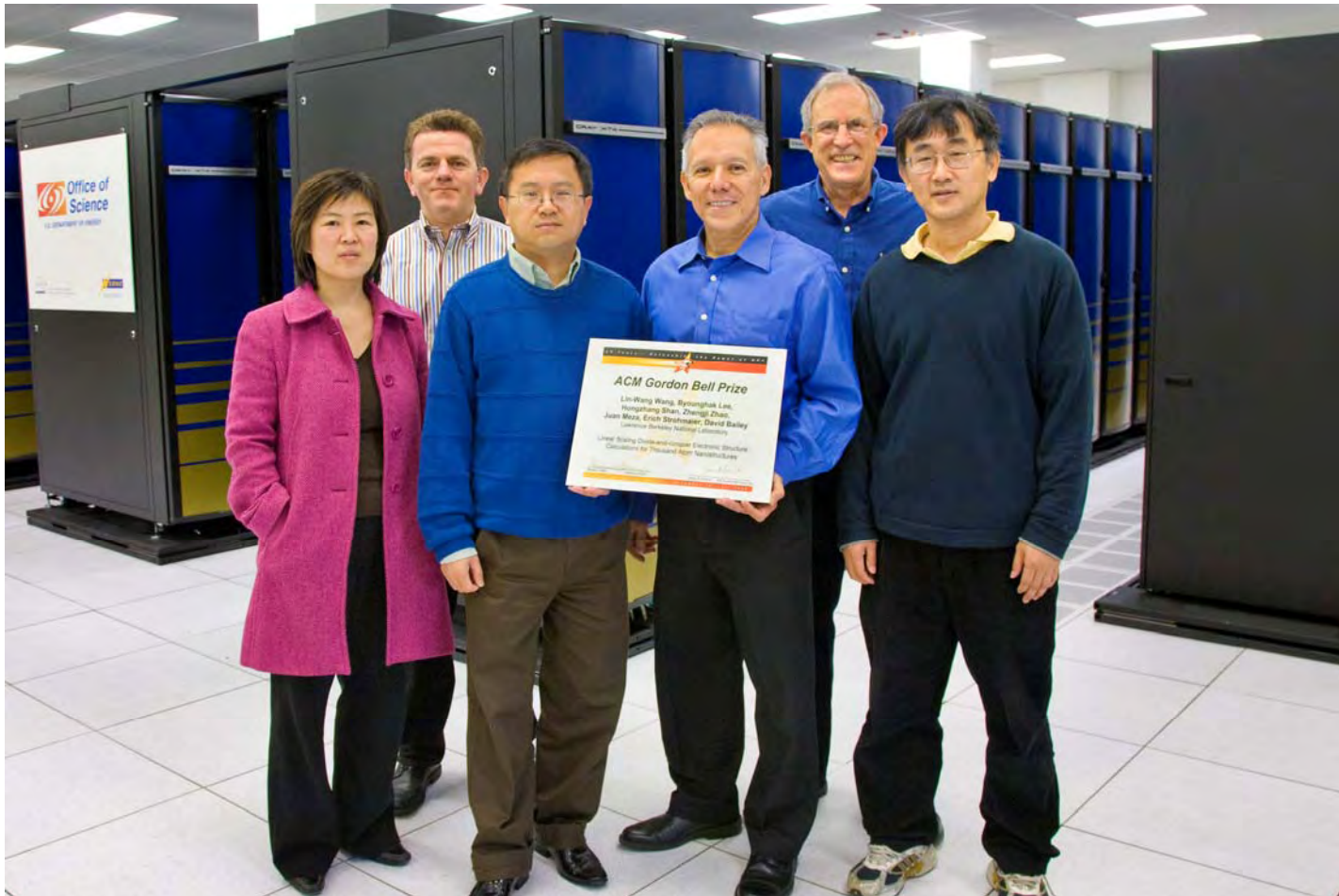
- ❖ LS3DF scales linearly to over 160,000 processors. It reached 440 Tflops/s. It runs on different platforms with little retuning
- ❖ The numerical results are the same as a direct DFT based on an  $O(N^3)$  algorithm, but at only  $O(N)$  computational cost
- ❖ LS3DF can be used to compute electronic structures for  $>10,000$  atom systems with total energy and forces in 1-2 hours. It can be 1000 times faster than  $O(N^3)$  direct DFT calculations.
- ❖ Enables us to yield new scientific results predicting the efficiency of proposed new solar cell materials



# Acknowledgements

- ❖ **National Energy Scientific Computing Center (Kathy Yelick, NERSC)**
- ❖ **National Center for Computational Sciences (NCCS)  
(Buddy Bland, Jeff Larkin at Cray Inc)**
- ❖ **Argonne Leadership Computing Facility (ALCF)  
(Paul Messina, Katherine M Riley, William Scullin)**
- ❖ **Innovative and Novel Computational Impact on Theory and Experiment (INCITE)**
- ❖ **SciDAC/PERI (Performance Engineering Research Institute)**
- ❖ **DOE/SC/Basic Energy Science (BES)  
DOE/SC/Advanced Scientific Computing Research (ASCR)**

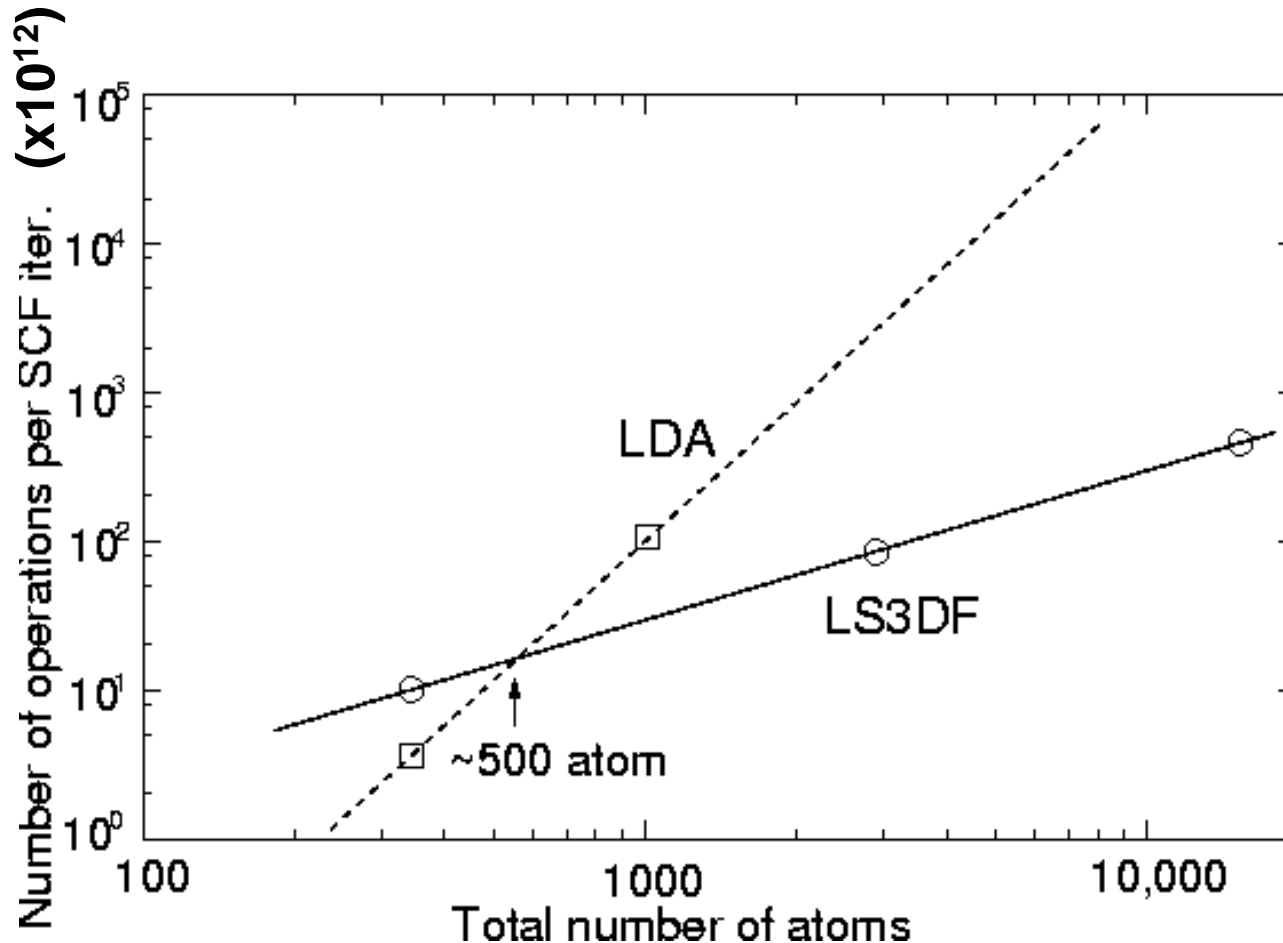
# Thank you!



# Backup Slides

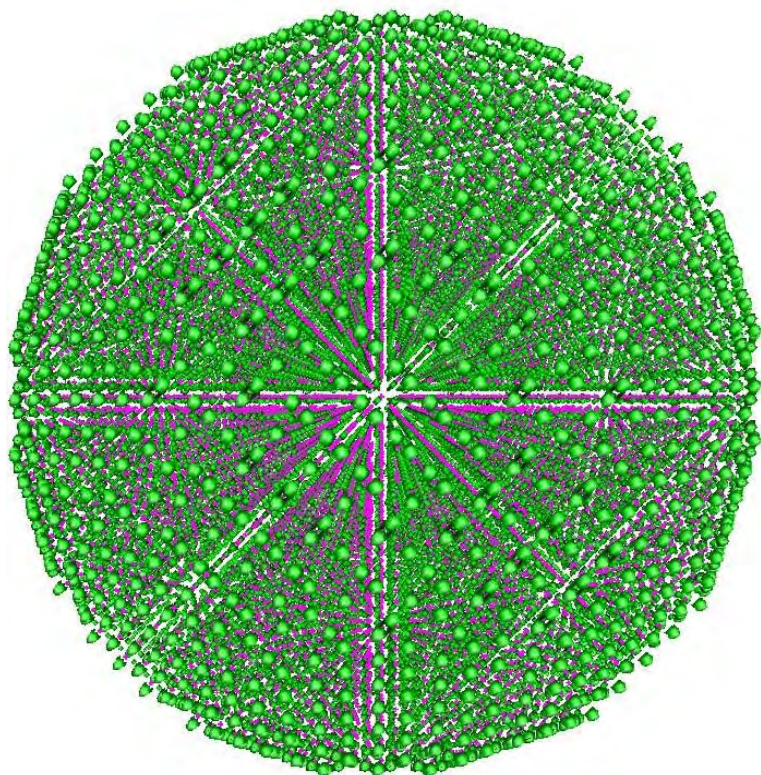


# Operation counts for direct LDA and LS3DF



- ❖ Cross over with direct LDA method [PEtot] is ~500 atoms.
- ❖ Similar to other  $O(N)$  methods.

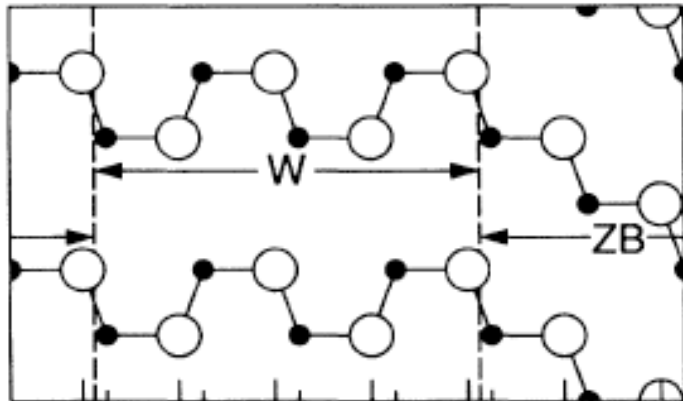
# Linear Scaling 3D Fragment (LS3DF) method



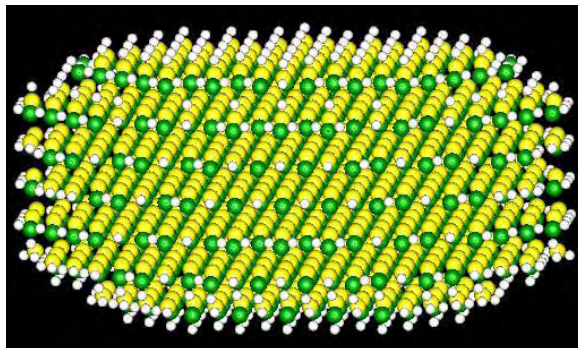
- Uses a novel divide and conquer approach to solve DFT
- Scales linearly with the number of atoms and has excellent parallel scaling
- Numerically equivalent to LDA
  - The total energy difference is 3meV/atom ~ 0.1 kcal/mol
  - Charge density difference: 0.2%
  - Atomic force difference:  $10^{-5}$  a.u

The charge density of a 15,000 atom quantum dot,  $\text{Si}_{13607}\text{H}_{2236}$ . Using 2048 processors at NERSC the calculation took about 5 hours, while a direct LDA calculation would have taken a few months.

# Geometric Effects on Dipole Moment

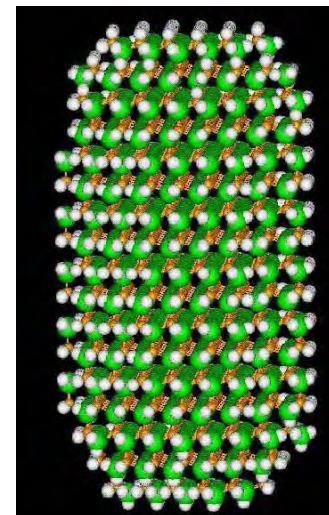


Pure bulk contribution =  $0.0143 (N_{Cd} + N_{Se})$   
 $P_0 = 20.5$  (a.u.)



$R=7, L=3$  (a.u.)  
Effective screening

$P=30.3$  (a.u.)

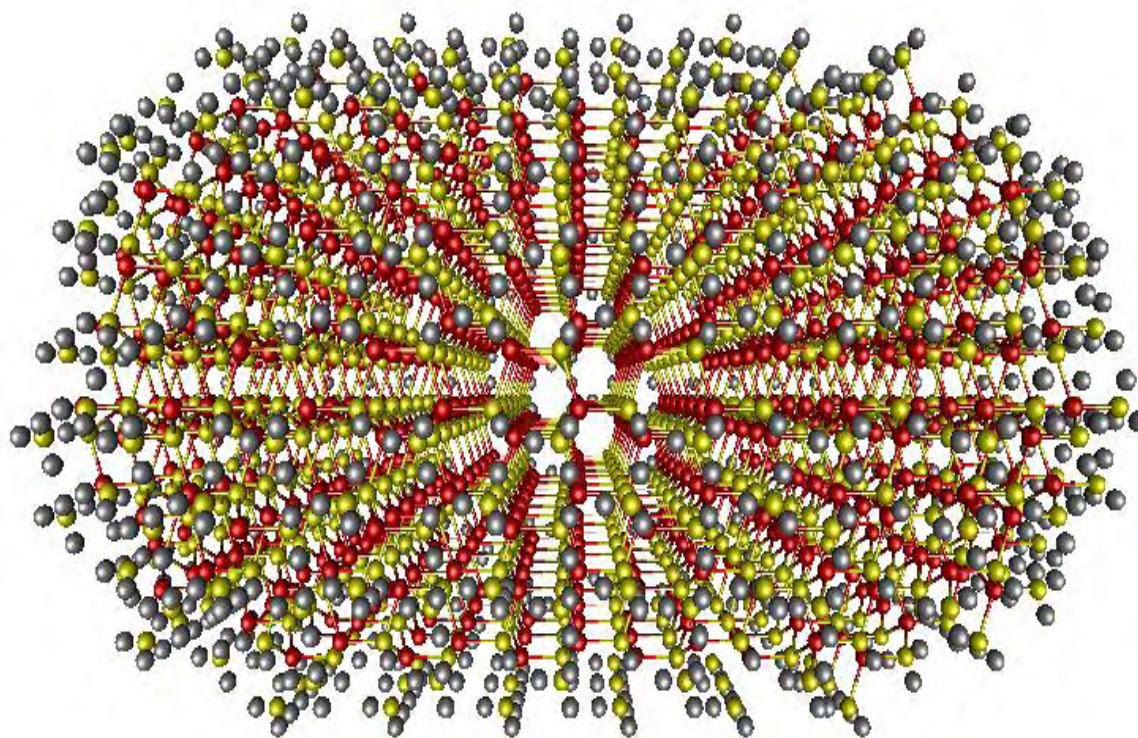


$R=4.5, L=9$  (a.u.)  
Weak screening

$P=73.3$  (a.u.)

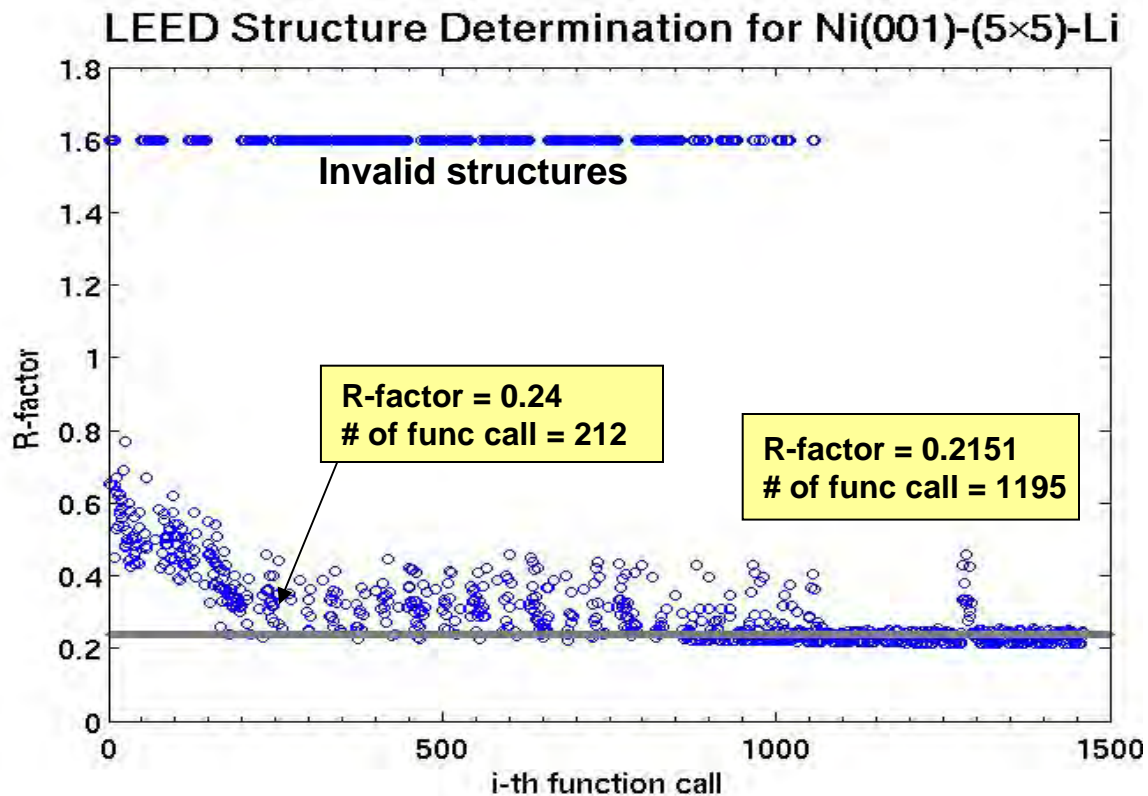
LS3DF calculation of dipole moment of nanostructure shows that it has a strong geometry dependence!

# Dipole Moment calculation using LS3DF

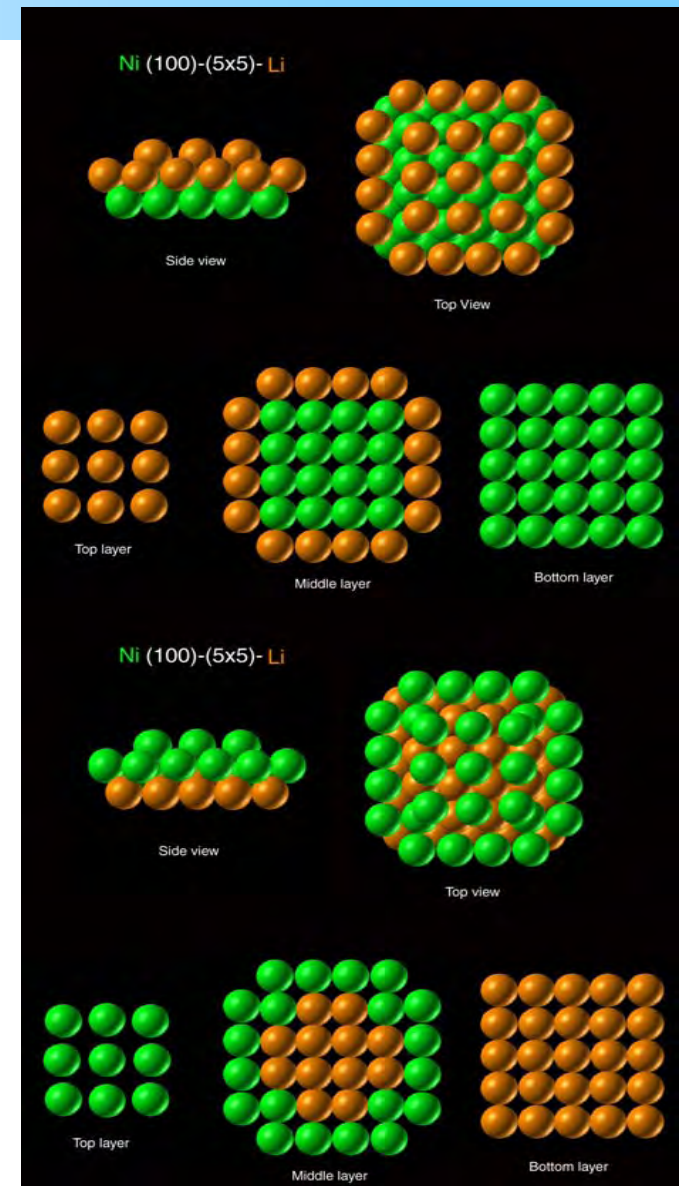


- ❖ The calculated dipole moment of a 2633 atom CdSe quantum rod,  $\text{Cd}_{961}\text{Se}_{724}\text{H}_{948}$ .
- ❖ Using 2560 processors at NERSC the calculation took about 30 hours.

# New minimization algorithms used to solve surface structure problems with mixed variables



Previous best known solution R-factor = 0.24  
New solution found with R-factor = .2151  
Final (global) solution with R-factor = .1184



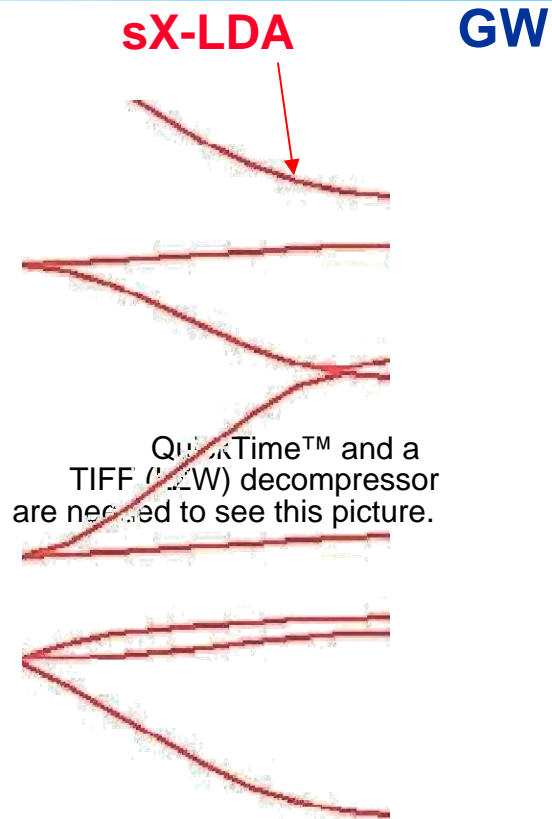


# Screened Exchange (sX) algorithm accurately computes bandgap for $\text{CaB}_6$

QuickTime™ and a  
TIFF (LZW) decompressor  
are needed to see this picture.

**LDA band gap = -0.5 eV**  
→ **semimetal**

**sX band gap = 1.27**  
→ **semiconductor**



QuickTime™ and a  
TIFF (LZW) decompressor  
are needed to see this picture.

B. Lee and L.-W. Wang *Appl. Phys. Lett.* **87**, 262509 (2005)