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DOE's Proposed Response to "Increasing Access to the Results of Federally Funded Scientific Research"

Fusion Energy Sciences Advisory Committee

9 April, 2014

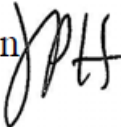
Laura Biven, PhD
Senior Science and Technology Advisor
Office of the Deputy Director for Science Programs (SC-2)
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OSTP Memo

EXECUTIVE OFFICE OF THE PRESIDENT
OFFICE OF SCIENCE AND TECHNOLOGY POLICY
WASHINGTON, D.C. 20502

February 22, 2013

MEMORANDUM FOR THE HEADS OF EXECUTIVE DEPARTMENTS AND AGENCIES

FROM: John P. Holdren 
Director

SUBJECT: Increasing Access to the Results of Federally Funded Scientific Research

1. Policy Principles

The Administration is committed to ensuring that, to the greatest extent and with the fewest constraints possible and consistent with law and the objectives set out below, the direct results of federally funded scientific research are made available to and useful for the public, industry, and the scientific community. Such results include peer-reviewed publications and digital data.

Scientific research supported by the Federal Government catalyzes innovative breakthroughs that drive our economy. The results of that research become the grist for new insights and are assets for progress in areas such as health, energy, the environment, agriculture, and national security.

Access to digital data sets resulting from federally funded research allows companies to form

DOE Proposed Response

Data

- **SC Statement on Digital Data Management**

Publication

- **Public Access Gateway for Energy Sciences (PAGES)**

***DOE Draft “Public Access Plan”
has been submitted to OSTP***



Summary: Office of Science Statement on Digital Data Management

Principles

- Effective data management has the potential to increase the pace of scientific discovery and promote more efficient and effective use of government funding and resources. Data management planning should be an integral part of research planning.
- Sharing and preserving data are central to protecting the integrity of science by facilitating validation of results and to advancing science by broadening the value of research data to disciplines other than the originating one and to society at large.
- Not all data need to be shared or preserved. The costs and benefits of doing so should be considered in data management planning.



Summary: Office of Science Statement on Digital Data Management

Requirements (1 of 3)

- To integrate data management planning into the overall research plan, **all proposals submitted to the Office of Science for research funding are required to include a Data Management Plan (DMP)** that describes how data generated through the course of the proposed research will be shared and preserved or explains why data sharing and/or preservation are not possible or scientifically appropriate. At a minimum, DMPs must describe how data sharing and preservation will enable validation of results, or how results could be validated if data are not shared or preserved.

DMPs will be reviewed as part of the overall Office of Science research proposal merit review process.

Summary: Office of Science Statement on Digital Data Management

Requirements (2 of 3)

- **DMPs must provide a plan for making all research data displayed in publications resulting from the proposed research digitally accessible at the time of publication.** This includes data that are displayed in charts, figures, images, etc. This requirement could be met by including the data as supplementary information to the published article, or through other means. The published article should indicate how these data can be accessed.



Summary: Office of Science Statement on Digital Data Management

Requirements (3 of 3)

- In determining the resources needed for data management, **researchers that plan to work at an Office of Science User Facility as part of the proposed research should consult the published data policy of that facility** and reference it in the DMP. DMPs that explicitly or implicitly commit data management resources at a facility beyond what is conventionally made available to approved users should be accompanied by written approval from that facility.



Definitions

Digital Research Data:

The term *digital data* encompasses a wide variety of information stored in digital form including: experimental, observational, and simulation data; codes, software and algorithms; text; numeric information; images; video; audio; and associated metadata. It also encompasses information in a variety of different forms including raw, processed, and analyzed data, published and archived data.

This statement focuses on *digital research data*, which are *research data* that can be stored digitally and accessed electronically. OMB Circular A110 defines *research data* as follows:

“Research data is defined as the recorded factual material commonly accepted in the scientific community as necessary to validate research findings, but not any of the following: preliminary analyses, drafts of scientific papers, plans for future research, peer reviews, or communications with colleagues. This 'recorded' material excludes physical objects (e.g., laboratory samples). Research data also do not include:

- (A) Trade secrets, commercial information, materials necessary to be held confidential by a researcher until they are published, or similar information which is protected under law; and
- (B) Personnel and medical information and similar information the disclosure of which would constitute a clearly unwarranted invasion of personal privacy, such as information that could be used to identify a particular person in a research study.”

Office of Science Statement on Digital Data Management

- Requirements will apply to all proposals for research funding regardless of institution but NOT to applications for time on user facilities
- Requirements will apply to proposals submitted in response to all Office of Science research solicitations and invitations for new, renewal, and some supplemental funding issued on or after **DATE TBD**

“Objectives for Public Access to Scientific Publications

“To the extent feasible and consistent with law; agency mission; resource constraints; U.S. national, homeland, and economic security... the results of unclassified research that are published in peer-reviewed publications directly arising from Federal funding should be stored for long-term preservation and publicly accessible to search, retrieve, and analyze in ways that maximize the impact and accountability of the Federal research investment.”

DOE's Draft Public Access Plan

The Department proposes a model for ensuring public access to scholarly publications resulting from DOE funding that provides the public with access to the **best available version** of the article **within 12 months from publication.**

Best available version:

1. Version of Record (VoR) published and hosted by the publisher
2. Accepted manuscript hosted by the publisher, a third party repository (e.g. Lab, arXiv, institutional repository), **or by DOE Office of Scientific and Technical Information (OSTI).**



Public Access Gateway for Energy and Science (PAGES)

- The Department proposes to host a public-facing portal and search interface tool to enhance discoverability, **PAGES**.
 - For DOE funded publications, PAGES will provide metadata, abstracts, and links to best available version.
 - OSTI will maintain a dark archive of all accepted manuscripts, providing access only to those that are not publically available elsewhere 12 months after publication.
- **There will be new requirements for researchers to submit metadata and accepted manuscripts to OSTI.**
- PAGES is developed and maintained by OSTI for DOE.
- PAGES exists but is not yet publically accessible.

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by [Chae, Kyu-Hyun](#); [Kraavstov, Andrey V.](#); [Frieman, Joshua A.](#); [Bernardi, Mariangela](#) (Nov. 2012)
Journal of Cosmology and Astroparticle Physics

With Sloan Digital Sky Survey galaxy data and halo data from up-to-date N-body simulations within the Λ CDM framework we construct a semi-empirical catalog (SEC) of early-type galaxy-halo systems by making a self-consistent bivariate statistical match of stellar mass (M) and velocity dispersion (σ) with halo virial mass (M_{vir}) as demonstrated here for the first time. We then assign stellar mass profile and velocity dispersion profile parameters to each system in the SEC using their observed correlations with M and σ . Simultaneously, we solve for **dark matter** density profile of each halo using the spherical Jeans equation. The resulting **dark matter** [more »](#)

2. [From gamma ray line signals of **dark matter** to the LHC](#)

by [Kopp, Joachim](#); [Neil, Ethan T.](#); [Primulando, Reinard](#); [Zupan, Jure](#) (Mar. 2013)
Physics of the Dark Universe

We explore the relationship between astrophysical gamma-ray signals and LHC signatures for a class of phenomenologically successful secluded **dark matter** models, motivated by recent evidence for a ~ 130 GeV gamma-ray line. We consider in detail scenarios in which interactions between the **dark** sector and the standard model are mediated by a vev-less scalar field ϕ , transforming as an N-plet ($N > 3$) under $SU(2)_L$. Since some of the component fields of ϕ carry large electric charges, loop induced **dark matter** annihilation to $\gamma\gamma$ and γZ can be enhanced without the need for non-perturbatively large couplings, and without overproduction of continuum [more »](#)

3. [Effective theories of gamma-ray lines from **dark matter** annihilation](#)

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Semi-empirical catalog of early-type galaxy-halo systems: dark matter density profiles, halo contraction and dark matter annihilation strength

Citation Details

With Sloan Digital Sky Survey galaxy data and halo data from up-to-date N-body simulations within the Λ CDM framework we construct a semi-empirical catalog (SEC) of early-type galaxy-halo systems by making a self-consistent bivariate statistical match of stellar mass (M) and velocity dispersion (σ) with halo virial mass (M_{vir}) as demonstrated here for the first time. We then assign stellar mass profile and velocity dispersion profile parameters to each system in the SEC using their observed correlations with M and σ . Simultaneously, we solve for **dark matter** density profile of each halo using the spherical Jeans equation. The resulting **dark matter** density profiles deviate in general from the dissipationless profile of Navarro-Frenk-White or Einasto and their mean inner density slope and concentration vary systematically with M_{vir} . Statistical tests of the distribution of profiles at fixed M_{vir} rule out the null hypothesis that it follows the distribution predicted by dissipationless N-body simulations for $M_{\text{vir}} 10^{13.5} - 14.5 M_{\odot}$. These **dark matter** profiles imply that **dark matter** density is, on average, enhanced significantly in the inner region of halos with $M_{\text{vir}} 10^{13.5} - 14.5 M_{\odot}$ supporting halo contraction. The main characteristics of halo contraction are: (1) the mean **dark matter** density within the effective radius [more »](#)

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Publication Date: 2012-11-05

OSTI Identifier: OSTI ID: 1076131

Type: Published Article

Journal Name: Journal of Cosmology and Astroparticle Physics

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Kyu-Hyun Chae *et al* JCAP11(2012)004 doi:10.1088/1475-7516/2012/11/004

Semi-empirical catalog of early-type galaxy-halo systems: dark matter density profiles, halo contraction and dark matter annihilation strength

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Kyu-Hyun Chae^{a,b}, Andrey V. Kravtsov^{c,d}, Joshua A. Frieman^{b,c,d} and Mariangela Bernardi^e

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Authors: [Ethier, Jacob James](#); [Melnitchouk, Wally \[JLAB\]](#)

Publication Date: 2013-11-01

OSTI Identifier: OSTI ID: 1103297

Report Number(s): JLAB-THY-13-1770; DOE/OR/23177-2696

DOE Contract Number: AC05-06OR23177

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Authors: [Romanov, V.](#); [Hur, T.-B.](#); [Fazio, J.](#); [Howard, B](#)

Publication Date: 2012-10-01

OSTI Identifier: OSTI ID: 1095010

Report Number(s): NETL-PUB-348

DOE Contract Number: FE0004000

Type: Accepted Manuscript

Journal Name: 29th Int. Pittsburgh Coal Conf., Pittsburgh

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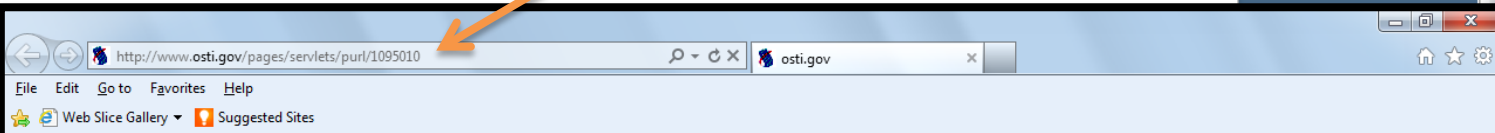
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Vyacheslav Romanov^{1,*}, Tae-Bong Hur^{1,2}, James Fazio¹, Bret Howard¹

¹U.S. Department of Energy, National Energy Technology Laboratory, PO Box 10940

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Authors: [Wang, Wei-Bung](#); [Jiang, Tao](#); [Gardner, Shea](#)

Publication Date: 2013-10-07

OSTI Identifier: OSTI ID: 1096427

DOE Contract Number: AC52-07NA27344

Type: Published Article

Journal Name: PLoS ONE

Volume: 8

Issue: 10

Research Org: Lawrence Livermore National Laboratory (LLNL), Livermore, CA (United States)

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Wei-Bung Wang, Tao Jiang, Shea Gardner

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