PRESENTATION TO NUCLEAR PHYSICS AI AND DATA SCIENCE, PI EXCHANGE MEETING



DEVELOPING MACHINE-LEARNING TOOLS FOR GAMMA-RAY ANALYSIS



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PHASE I: PROJECT PURPOSE AND GOALS

The **purpose** of phase I is to develop automated decision-support tools to assist physicists in the analysis of complex experimental data taken with the large gamma-ray spectrometers (Gammasphere, GRETINA and AGATA).

Goals:

- 1. Develop machine-learning tools to improve γ -ray tracking (GRETINA/GRETA).
- 2. Develop machine-learning tools to assist in the construction of complicated level schemes using γ - γ and γ - γ - γ coincidence data.







PHASE I/II - OUTLINE Machine-Learning (ML) tools for Gamma-Ray Analysis

Gamma-ray Tracking

- Develop new methods to improve on current gamma-ray tracking algorithms to increase both photopeak efficiency and background rejection.
- Utilize machine learning tools to improve on these methods.
- Extend these methods to include pair production events.
- Incorporate these tools into tracking codes used by the community.



Level Scheme Construction

- Develop a mathematical toolkit to build levels schemes using both 2fold and 3-fold coincidence information bench marking with known level schemes.
- Develop tools to automatically extract intensity information from gamma-ray coincidence data (2D, 3D).
- Apply toolkit to both simulated data and experimental data taken with Gammasphere and GRETINA.

T. Budner, D. Lenz, M. Carpenter



PHASE II - ADDITIONS HPC Tools for Gamma-Ray Analysis



PHASE II - ADDITIONS Optimization and ML tools for Coulomb excitation

We are investigating the use of modern machine-learning and optimization techniques to accelerate the least-squares optimization in GOSIA. Our developments will enable other outer loop analysis, such as the automatic selection of weights and the use of reinforcement learning techniques for the determination of matrix signs. (Leyfer and Siciliano)

PROJECT PARTICIPANTS

Joint project between two ANL divisions: Physics (PHY) and Math and Computer Science (MCS)

PHY

- Tamas Budner (FOA funded Pdoc)
- Mike Carpenter (ANL Staff)**
- Filip Kondev (ANL Staff)
- Amel Korichi (IJCLab Orsay Staff)**
- Torben Lauritsen (ANL Staff)
- Marco Siciliano (ANL Staff)

** Today's Presenters

MCS

- David Lenz (ANL Staff)
- Sven Leyffer (ANL Staff)
- Thomas Lynn (FOA funded Pdoc)
- Robert Ross (ANL Staff)
- Rob Latham (ANL Staff)

BUDGET TABLE

Summary of expenditures by fiscal year (FY):

	FY21 (\$k)	FY22 (\$k)	FY23 (\$k)	FY24 (\$k)	Total (\$k)
a) Funds allocated	500	500	0	820	1,820
b) Costs to date	0	179	392	435	1,006

We had ~\$428k remaining at the end of FY23. The remaining funds were due to delay in finding and hiring post-doctoral appointees until later in FY22. Both Post-Docs ended their appointments in FY24-Q4. This took care of funding from Phase I. We received funding for Phase 2 in FY24 and have begun working on the proposed deliverables.

ML TOOLS FOR GAMMA-RAY TRACKING

U.S. DEPARTMENT OF ENERGY Argonne National Laboratory is a U.S. Department of Energy laboratory managed by UChicago Argonne, LLC.

AI/ML for the new generation of γ-ray tracking array : Improve the current performance

Benefit to the ATLAS program : GRETINA is frequently hosted at ANL Very Important when GRETA will be in area 4 at Argonne

PROJECT GOALS Machine-Learning (ML) tools for Gamma-Ray Tracking

- Develop new techniques to enhance existing γ-ray tracking algorithms, boosting photopeak efficiency and improving the signal-to-background ratio (P/T).
- Adapt these techniques to accurately perform Doppler correction with the first interaction point (ordering!)
- Expand these methods to handle pair production events.
- Incorporate these tools into tracking codes used by the community.

©-RAY TRACKING Overview of the principle

Three known interaction types of interest

Photoelectric

Ravleigh

Comptor

····· Total

10¹

100

Goal of Tracking

Actual event: clustering/ordering of interactions

Actual interactions

Interactions recorded by PSA: "Packed and smeared" clustered and ordered

Tracked event: interactions are

The Full Tracking Problem

Organize interactions to recover the experimental event as best as possible

DATA: interaction positions and energies

PROBLEM: Too many possible ordered clusters of interactions!

GOAL: Find the ordered clusters of interaction that optimize a *Figure of Merit (FOM)*

What FOM recovers the event?

U.S. Department of U.S. Department of Energy laboratory managed by UChicago Argonne, LLC. 10 interactions \rightarrow 58,941,091 possible ordered clusters 60 interactions \rightarrow as many possibilities as atoms in the universe

In Practice: with current algorithms

ML TOOLS FOR GAMMA-RAY TRACKING

Three complex operations

Cluster interactions into separate γ-rays

Order interactions for individual γ-rays

Suppress γ-rays scattering out of the detector

3! = 6 permutations For 3 interactions !

ADOPTED METHODOLOGY

GEANT4 Simulated data Radioactive source data with GRETINA

High and low multiplicity data: clusterization, escape suppression Efficiency and P/T evaluation High and low recoil velocity: ordering the interactions 1st interaction for Doppler correction 1st and 2nd interactions for Linear polarization

In-beam

GRETINA data

In all cases the results were compared to those obtained using conventional tracking codes AFT (Argonne Forward Tracking) and OFT (Orsay Forward Tracking)

ADOPTED METHODOLOGY ML Approach for Learning-to-rank

• When ordering, we want

FOM(best incorrect order) > FOM(true order)

- We don't care about the FOM value, only the difference between desired and undesired orders
- The best incorrect order requires ordering with the FOM
- Let FOM be weighted sum of physics derived objectives (e.g. existing FOMs), a simple, interpretable model, that prevents overfitting (*maximizes likelihood that the model can survive the translation* from simulated to experimental data

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FOM(order) = w<sup>T</sup>f(order)
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• Allows simplification

 $w^{T}(f(\text{incorrect}) - f(\text{true})) > 0$

- If all features/FOMs are quantities that we want to minimize, constrain w positive, protect against overfitting
- Use linear classification (introduce mirrored data as second class \rightarrow off the shelf solvers)

Results for Co source data

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$$\frac{\text{GRETINA (AFT) FOM}}{\frac{1}{N-1} \sum_{i=1}^{N-1} \left(\theta^{\text{geo}} - \theta^{\text{theo}}(E_{i-1}, E_i) \right)^2}$$

Final FOM Check to remove background

ML classification problem

Use linear model to help interpretability, protect against overfitting, help transition to experimental data

Good ordering, especially for incomplete gamma-rays, helps clean up the spectrum

NB: We need to simultaneously maximize the efficiency & the P/T

Results for ⁹²Mo in-beam data

Fusion-evaporation reaction ¹²C(⁸⁴Kr,xn) Beam Energy = 394 MeV Recoil velocity ~8 %

No FOM cut/supression. Only Doppler correction

Example of parameters, FOMs and models that have been used in this work

A	В	Simulated data			Experimental data			I	J
		all_accuracy_correlation	all_accuracy_R	complete_accuracy_correlation	complete_accuracy_R	incomplete_accuracy_correlation	incomplete_accuracy_R	validation_accuracy_	validation_accuracy_R
C	C_1000	-0.058193674	0.058193674	-0.053454752	0.053454752	-0.052224147	0.052224147	0.20516106	0.00045849
U U	C_10000	0.058193674	0.058193674	0.053454752	0.053454752	0.052224147	0.052224147	-0.20516106	0.00045849
Columns	cols_aft	-0.076325647	0.076325647	-0.005300437	0.005300437	-0.204519661	0.204519661	-0.01204583	0.8387107
	cols_aft-fast	0.0888634	0.0888634	0.107414741	0.107414741	0.025623966	0.025623966	0.0385706	0.5144265
	cols_aft-fast-tango	0.128330901	0.128330901	0.109293607	0.109293607	0.133188852	0.133188852	0.07734063	0.19061326
	cols_aft-fastest	0.021426865	0.021426865	0.052850234	0.052850234	-0.050385041	0.050385041	-0.14379215	0.01459295
	cols_aft-fastest-tango	0.069065148	0.069065148	0.063813769	0.063813769	0.061197885	0.061197885	-0.07738052	0.19038397
	cols_aft-tango	-0.006229761	0.006229761	-0.003607784	0.003607784	-0.010028997	0.010028997	-0.07953441	0.1783003
	cols_aft-true	-0.432470377	0.432470377	-0.203709027	0.203709027	-0.794319516	0.794319516	0.08811009	0.13578374
	cols_all	0.157322643	0.157322643	0.126755755	0.126755755	0.178449978	0.178449978	0.36398759	0
	cols_fast	0.089000176	0.089000176	0.107563293	0.107563293	0.025698618	0.025698618	-0.06284176	0.28783962
	cols_fast-tango	0.128222102	0.128222102	0.109299883	0.109299883	0.132868287	0.132868287	0.05580173	0.3453722
	cols_fastest	-0.520524263	0.520524263	-0.620266848	0.620266848	-0.168822785	0.168822785	-0.13266372	0.02435088
	cols_oft	0.113075771	0.113075771	0.104667581	0.104667581	0.099797409	0.099797409	0.09618718	0.10330652
	cols_oft-fast	0.153309525	0.153309525	0.137876211	0.137876211	0.143771884	0.143771884	0.00680071	0.90851543
	cols_oft-fast-tango	0.16630786	0.16630786	0.13366864	0.13366864	0.189327228	0.189327228	-0.038431	0.51595111
	cols_oft-fastest	0.130196021	0.130196021	0.11340284	0.11340284	0.129833899	0.129833899	-0.06679056	0.25855802
	cols_oft-fastest-tango	0.140003446	0.140003446	0.10277407	0.10277407	0.179850803	0.179850803	-0.05422619	0.35918367
	cols_oft-tango	0.129167097	0.129167097	0.093509465	0.093509465	0.168679336	0.168679336	-0.05350823	0.36559044
	cols_oft-true	-0.478740905	0.478740905	-0.530005993	0.530005993	-0.240212145	0.240212145	-0.00558416	0.92482719
Model type	model_type_lp	0.043636361	0.043636361	0.038356837	0.038356837	0.042782798	0.042782798	0.06523047	0.26987133
	model_type_lr	-0.077810651	0.077810651	-0.06480834	0.06480834	-0.0838193	0.0838193	0.12590334	0.03269045
	model_type_milp	0.099322254	0.099322254	0.08493778	0.08493778	0.102348504	0.102348504	-0.23708948	0.00004821
	model_type_svm	-0.065147964	0.065147964	-0.058486277	0.058486277	-0.061312002	0.061312002	0.04595567	0.43721044
Non-negative	nonneg_False	0.00252598	0.00252598	-0.032721003	0.032721003	0.075811971	0.075811971	-0.30128662	0.0000019
L	nonneg_True	-0.00252598	0.00252598	0.032721003	0.032721003	-0.075811971	0.075811971	0.30128662	0.0000019
1	T								

C: Controls the sparsity of the model; a smaller C means a simpler model Columns: Groups of FOM features Model type: The approach for training the ML model LP: Linear program (more precise than SVM), LR: Logistic regression (simplest, but least accurate) MILP: Mixed integer linear program (most accurate), SVM: Support-vector machine (basic linear model) Non-negative: If "noneg = True," all weights in the FOM are non-negative, focusing on minimizing values. If "noneg = False," some weights can be negative, allowing for maximization.

Results for ⁹²Mo in-beam data

Experiment performed at ATLAS (for the evaluation of GRETINA performance)

Clear improvement in the energy resolution & efficiency

Results summary

P/T improved by ~10 % Efficiency ~ 6 %

FWHM improved by 9 %

These numbers look small BUT !

FIGURE OF MERIT FOR THE EVALUATION OF A SPECTROMETER PERFORMANCE COMPOSITE PARAMETER WITH:

™E Average spacing between consecutive transitions in a typical cascade

Resolving Power(RP) ~ R^{Fold}

For a 5-fold ©-ray event (typical for high-spin Gammasphere exp.)

10 %P/T better \rightarrow increase RP by 60%

8 % fwhm better \rightarrow increase RP by 52%

This results in more than a factor 2.5 gain in the Resolving Power

Excellent with a less than optimal array configuration

■ Tracked (wsi)- packed geometry → Tracked (wsi)- unpacked geometry

0.04

P/T (%)

50

160 180

0.5 P/T

A more populated array towards GRETA (with new PSA?)will do much better !

GAMMA-RAY TRACKING SUMMARY

Current project milestones, (nearly complete)

- Python Code has been published on GitHub
- New ordering approaches enhance existing techniques, improving the resolving power by up to 2.4 for Doppler-corrected data
- Learning To Rank (LTR) methods enable expanded tracking optimizations
- New suppression approaches further enhance the resolving power and are nearly ready for experiments
- Journal paper manuscript is in preparation

Renewal project milestones (continuing)

Pair production tracking for higher energy (>7 MeV) gamma-rays

Lately optimized for speed 12h→ 2h (Moly data)

GAMMA-RAY TRACKING CONCLUSION

Current project milestones are nearly complete.

The synergy/collaboration between the Physics Division and the MCS Division has been crucial to the project's success.

Thomas Lynn's dedicated efforts and expertise have been indispensable. He is the main player!

Thank you !

ML TOOLS FOR LEVEL-SCHEME DESIGN

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MAPPING OF EXCITED STATES IN NUCLEI

Building level schemes from data collected from the large gamma-ray arrays

- A major deliverable from large γ-ray arrays is the mapping of excited nuclear states.
- Accomplished by analysis of γ-ray coincidence data *e.g.* 2-fold, 3-fold, ...
- Level schemes can be complicated, and analysis times can take many months.
- Can we develop tools to speed up analysis and quantify accuracy?

ML TOOLS FOR LEVEL-SCHEME DESIGN

Overview of Inverse Optimization Approach

- Data preparation
- Extraction tools for coincidence data

- Inverse optimization to determine transitions
- ML-based optimizers
- Graph-based levelscheme generation
- ML-based extensions

Part I: Software Tools for Spectroscopic Analysis

- Goal: Develop user-friendly software tools to streamline the process of analyzing large datasets from gamma-ray spectroscopy experiments
- Extract intensities from 1D singles and 2D coincidence spectrum which can be used to reconstruct nuclear level scheme

1D Gamma-Ray Singles Spectrum

- All statistics for *n* total γ transitions as measured by spectrometer
- The intensity of the *i*th γray transition is stored as S_i in vector S

Background Subtraction and Peak Fitting

- Extract energies/intensities:
 - Subtract background histogram
 - Estimate remaining background with polynomial function
 - Fit peaks with Gaussian distributions
- Additional options:
 - Plot residuals
 - Manually add peaks
 - Constrain peak shape

After background subtraction

Gamma-Gamma Coincidence Matrix

- The number of γ_i-γ_j coincidences is stored in each element C_{i,j} within the reduced coincidence matrix C
- The matrix is symmetric $C_{i,j} = C_{j,i}$ because there is no information about the order of the cascade

2D Coincidence Spectrum Fitting

- Use information from the γ -ray combined singles spectrum as well as the background-subtracted, γ -gated coincidence spectrum to populate the undirected coincidence matrix C
- Automatic fitting procedure using 2D Gaussian distribution

 $C = \begin{pmatrix} C_{i,j} & 0 \\ & 0 \\ \vdots & & \ddots \\ C_{i,j} & \cdots & \ddots \end{pmatrix}$

Part II: Numerical Optimization for Level Scheme Building

• Goal: Numerically solve a system of matrix equations containing experimental data in order to reconstructed an ordered nuclear decay scheme diagram

"Level-Centric" Decay Scheme

Decay schemes can be represented as graphs.

- Each level within the decay scheme corresponds to a vertex (or node), and the edges connecting these vertices correspond to γ -ray transitions between levels.
- Gamma-ray branching ratios correspond to edge weights.

G. Demand, Development of a Novel Algorithm for Nuclear Level Scheme Determination, Master's thesis, University of Guelph, 2009.

Adjacency Matrix

Every weighted, directed graph has a unique adjacency matrix A.

- Given a start position of vertex *i*, element A_{*i*,*j*} is the probability of transitioning directly to vertex *j* (non-zero numbers=branching ratios)
- Transition energy information not needed for network connectivity but is useful for level-centric scheme construction.

	γ_7	γ_6	γ_5	γ_4	γ_3	γ_2	γ_1
γ_1	0)	Θ	Θ	0	0	0	(0
γ_2	0	0.6	0.4	0	0	0	0
<i>γ</i> ₃	1.0	00		0	0	0	0
γ_4	0	0.6	0.4	0	0	0	0
<i>γ</i> ₅	0	Θ	Θ	0	0	0	0
γ_6	1.0	Θ	Θ	0	0	0	0
<i>γ</i> ₇	0)	Θ	Θ	0	0	0	0)
' A							

MATHEMATICAL FORMULATION

Writing Level Scheme Construction as Matrix Equations

- Start with data from Gamma-Sphere experiment:
 - S: γ-ray transitions & intensities (as diagonal matrix)
 - C: γ-γ coincidence data
- Determine the outputs:
 - A: the matrix of branching ratios
 - D: the <u>directed</u> coincidence data
- Following Demand (2013), we try to satisfy two equations simultaneously:

 $D = S((I - A)^{-1} - I)$ and $C = D + D^{T}$

Solving an Inverse Problem

Numerical Solution

• We have two governing equations:

$$D = S((I - A)^{-1} - I)$$

$$C = D + D^{T}$$

• Satisfying both equations leads to the nonlinear optimization problem:

$$\min_{A,D} \|D - S((I - A)^{-1} - I)\|^2$$

subject to:
$$A \ge 0$$
, $\sum_{j} A_{ij} \le 1$, $C = D + D^T$ **PHYSICS!**

Finding A, D that produce the global minimum value is equivalent to finding A, D that satisfy the governing equations (and thus describe the true level scheme)

Mapping Between Transition- and Level-Space Reconstructing Level-Centric Decay Scheme from Adjacency Matrix

	(2507	2540	4117	4150	4187	4945	5797	8337	9094	13282
	2507	0	0	0	0	0	0	(1)	0	0	0
	2540	0	0	0	0	0	0	(1)	0	0	0
	<mark>411</mark> 7	0	0	0	0	(1)	0	0	0	0	0
	4150	0	0	0	0	(1)	0	0	0	0	0
Adjacency =	4187	0	0	0	0	0	0	0	0	0	0
	4945	0	0.70	0	0.23	0	0	0	0.07	0	0
	5797	0	0	0	0	0	0	0	0	0	0
	8337	0	0	0	0	0	0	0	0	0	0
	9094	0	0	0	0	(1)	0	0	0	0	0
	13282	0	0	0	0	0	0	0	0	0	0)

G. Demand, Development of a Novel Algorithm for Nuclear Level Scheme Determination, Master's thesis, University of Guelph, 2009.

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Benchmarking our Work

Successful Outcomes

- Ipopt used to solve large-scale, nonlinear optimization problem
- Successful cases:
 - ° 20**0**
 - ⁴³K
 - o ¹⁸²Ta
 - o ²⁰⁰Pb
- Maxing out at about 30 40 transitions per decay scheme
- Time to converge <1 minute on a serial CPU compute node
- Example case: ²⁰⁰Pb (20 transitions)
 - Original problem size: 800 variables, 390 constraints
 - Reduced problem size: 627 variables, 216 constraints

5435.73

Benchmarking our Work

Potential Failures

- 1. Fails to converge on a solution within several hours; stop to check current "best guess"
 - Potential solution: parallelizing algorithm and utilize HPC resources
- 2. Converges to incorrect answer
 - Optimizer could converge to solution where function output is zero; if converges to solution but objective function is non-zero, decay scheme must be incorrect
 - Borderline cases where solution is an easy fix, i.e. a few misplaced, weak transitions

Potential Solutions

- Using prior information about decay scheme to constrain elements of adjacency matrix A to reduce parameter space in numerical optimization
- Pivot from nonlinear optimization to mixed-integer, linear optimization

Future Outlook

- Finish documenting Jupyter Notebook and publish open-source code for low-energy nuclear community to alpha test
- Add more user flexibility for background and peak modeling
- Expand numerical optimization test cases to real data
- Extend these techniques to 3D coincidence data (phase 2)

TABLE OF DELIVERABLES AND SCHEDULE

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REMAINING MILESTONE SCHEDULE

Year	Milestone	Personal
FY25	Improve peak-to-total of γ -ray spectra	AK, TL
FY25	Accel. merging of DAQ data	RL, RR, TL
FY25	Algorithms to automatically extract inte	MC, FK
FY25	Optimization and ML tools for Coulex	MS, SL, DL
FY26	Improve tracking eff. at high energy	AK, TL
FY26	Storage of even in indexed form	RL, RR, TL
FY26	Level-scheme design from N-fold data	SL, MC, TL
FY25	Reinforced learning of Coulom excit.	MS, SL, DL

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Collaborators at Argonne National Laboratory

T. Budner,¹ M. Carpenter,¹ F. Kondev,¹ A. Korichi,³ R. Latham,² T. Lauritsen,¹ D. Lenz,² T. Lynn,² R. Ross² and M. Siciliano¹

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And thank you for your attention!

