



Department of Energy
Office of Science
Washington, DC 20585

April 7, 2014

Dr. Peter B. Littlewood
Director
Argonne National Laboratory
9700 South Cass Avenue
Argonne, Illinois 60439-4832

Dear Dr. Littlewood:

It is a pleasure to inform you that UChicago Argonne, LLC (UC-A) at the Department of Energy (DOE) Argonne National Laboratory (ANL) has successfully completed the DOE Earned Value Management System (EVMS) surveillance review process. As a result of the independent surveillance review conducted and the corrective actions taken thus far by UC-A, it has been determined that the EVMS meets the requirements of the American National Standards Institute/Electrical Industries Alliance (ANSI/EIA)-748B.

To verify EVMS compliance, an independent surveillance/EVMS Acceptance Review was conducted at ANL on August 21-22, 2013, to determine if the UC-A EVMS met the ANSI requirements. During the review, the Surveillance Review Team found and reported five Corrective Action Requests (CAR):

1. CAR-01—Quality (clearer narrative, more complete narrative, analysis results, and timeliness) of variance analysis reports and records is insufficient to provide effective analysis of issues and proposed corrections. DCS (GL-23)
2. CAR-02—Execution of the accrual process generates errors in earned value data which impacts variance reports. ESB, DCS (GL-16)
3. CAR-03—The number of existing Control Account Managers is insufficient to adequately monitor project performance. DCS (GL-2)
4. CAR-04—Insufficient objective measures for tasks that exceed 60 days resulting in limited ability to accurately measure performance and to forecast. DCS (GL-7)
5. CAR-05—Project schedule does not accurately reflect work to be performed (entire scope to be performed, logic) and does not allow accurate forecasting. DCS (GL-6)

The actions proposed by UC-A in the revised Corrective Action Plan, dated March 18, 2014, to address the CARs are acceptable. Progress on completion of the CARs will be evaluated within the next six months.

The Surveillance Review Team also identified five Continuous Improvement Opportunities (CIO), which are not strict requirements, but would improve the UC-A system. The CIO corrective actions proposed by UC-A are also acceptable.



OPA urges you to continue to maintain the high level of compliance that your staff demonstrated to the EVMS Surveillance Review Team during the on-site review and CAR resolution process to ensure continuing ANSI compliance and valid EVMS certification.

Sincerely,

A handwritten signature in black ink, reading "Stephen W. Meador". The signature is written in a cursive style with a large, prominent "S" at the beginning.

Stephen W. Meador
Acting Director
Office of Project Assessment

cc:

P. Dehmer, SC-2
J. McBrearty, SC-4
H. Kung, SC-22
J. Murphy, SC-22
P. Kraushaar, SC-22
E. Merrill, SC-22
K. Fisher, SC-28
J. Livingood, ASO
F. Gines, ASO
R. Lutha, CH

April 7, 2014

Dr. Peter B. Littlewood
Director
Argonne National Laboratory
9700 South Cass Avenue
Argonne, Illinois 60439-4832

Dear Dr. Littlewood:

It is a pleasure to inform you that UChicago Argonne, LLC (UC-A) at the Department of Energy (DOE) Argonne National Laboratory (ANL) has successfully completed the DOE Earned Value Management System (EVMS) surveillance review process. As a result of the independent surveillance review conducted and the corrective actions taken thus far by UC-A, it has been determined that the EVMS meets the requirements of the American National Standards Institute/Electrical Industries Alliance (ANSI/EIA)-748B.

To verify EVMS compliance, an independent surveillance/EVMS Acceptance Review was conducted at ANL on August 21-22, 2013, to determine if the UC-A EVMS met the ANSI requirements. During the review, the Surveillance Review Team found and reported five Corrective Action Requests (CAR):

1. CAR-01—Quality (clearer narrative, more complete narrative, analysis results, and timeliness) of variance analysis reports and records is insufficient to provide effective analysis of issues and proposed corrections. DCS (GL-23)
2. CAR-02—Execution of the accrual process generates errors in earned value data which impacts variance reports. ESB, DCS (GL-16)
3. CAR-03—The number of existing Control Account Managers is insufficient to adequately monitor project performance. DCS (GL-2)
4. CAR-04—Insufficient objective measures for tasks that exceed 60 days resulting in limited ability to accurately measure performance and to forecast. DCS (GL-7)
5. CAR-05—Project schedule does not accurately reflect work to be performed (entire scope to be performed, logic) and does not allow accurate forecasting. DCS (GL-6)

The actions proposed by UC-A in the revised Corrective Action Plan, dated March 18, 2014, to address the CARs are acceptable. Progress on completion of the CARs will be evaluated within the next six months.

The Surveillance Review Team also identified five Continuous Improvement Opportunities (CIO), which are not strict requirements, but would improve the UC-A system. The CIO corrective actions proposed by UC-A are also acceptable.

OPA urges you to continue to maintain the high level of compliance that your staff demonstrated to the EVMS Surveillance Review Team during the on-site review and CAR resolution process to ensure continuing ANSI compliance and valid EVMS certification.

Sincerely,

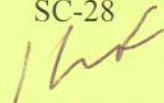
Stephen W. Meador
Acting Director
Office of Project Assessment

cc:

P. Dehmer, SC-2
J. McBrearty, SC-4
H. Kung, SC-22
J. Murphy, SC-22
P. Kraushaar, SC-22
E. Merrill, SC-22
K. Fisher, SC-28
J. Livingood, ASO
R. Lutha, ASO
F. Gines, ASO

SC-28:CRC:35451:4/3/14:o:sc-28 General:EVMS Reviews:1308 rev:1404 ANL UC-A Acceptance Letter.docx

SC-28


KFisher
4/14/14

SC-28


SMeador
4/7/14