



Department of Energy
Office of Science
Washington, DC 20585

SEP 09 2016

Dr. Peter B. Littlewood
Director
Argonne National Laboratory
9700 South Cass Avenue
Argonne, Illinois 60439-4832

Dear Dr. Littlewood:

It is a pleasure to inform you that University of Chicago Argonne, LLC (UC-A) at the Department of Energy (DOE) Argonne National Laboratory (ANL) has successfully completed the DOE Earned Value Management System (EVMS) Acceptance Review process. As a result of the independent surveillance review conducted and the corrective actions taken thus far by UC-A, it has been determined that the EVMS continues to meet the requirements of the Electrical Industries Alliance (EIA)-748.

To verify EVMS compliance, an independent surveillance/EVMS acceptance review was conducted at ANL on March 9-10, 2016, to determine if the UC-A EVMS met the EIA-748 requirements.

During the review, the surveillance review team identified one Corrective Action Request (CAR) and three Continuous Improvement Opportunities (CIOs / CIOs*), including:

Corrective Action Requests (CARs)

CAR-01: Execution of the accrual process generated errors in the earned value (EV) data, which impacted variance analysis and the Estimate to Complete (ETC).

Continuous Improvement Opportunities (CIOs/CIOs)*

- CIO-01*: Corrective action logs are missing some critical elements, which is inconsistent with the EVMS procedure.
- CIO-02: Potential over-reliance on calculated ETC/Estimate at Completion (EAC) by the Control Account Managers (CAMs) could affect usefulness to management.
- CIO-03: Consider decomposing planning packages on critical path to determine / impacts of late delivery / hardware slippage.

*CIOs are not strict requirements, but would improve the EVMS system.



UC-A provided a Corrective Action Plan dated July 2016 to address the CAR and CIOs/CIO*s. The actions proposed and undertaken by UC-A in the Corrective Action Plan are acceptable.

OPA urges you to continue to maintain the high level of compliance that your staff demonstrated to the EVMS Review Committee during the on-site review process to ensure continuing EIA-748 compliance and valid EVMS certification.

Sincerely,

A handwritten signature in black ink, appearing to read "Stephen W. Meador". The signature is fluid and cursive, with a large initial "S" and "M".

Stephen W. Meador
Director
Office of Project Assessment

cc:

P. Dehmer, SC-2
J. McBrearty, SC-4
R. Wunderlich
J. Binkley, SC-21
B. Helland, SC-21
B. Riley, SC-21
S. Short, SC-3
S. Trischman, SC-31
G. Brown, SC-31
K. Fisher, SC-28
J. Livengood, DOE/ASO
F. Gines, DOE/ASO
J. Paliulionis, DOE/ASO
K. Hellman, ANL
J. Fortner, ANL